United Nations A/50/6 (Sect. 28)

General Assembly
Fiftieth session

12 May 1995

Proposed programme budget for the biennium 1996-1997*

Part IX Special expenses

Section 28
Special expenses

Contents

Page

Overview 1

A. After-service health insurance 2

B. Compensatory payments 4

C. General insurance 4

D. Bank charges 5

E. Interorganizational security measures 6

F. Pension payments to former Secretaries-General 10

* The present document contains section 28 of the proposed programme budget for the biennium 1996-1997. The complete proposed programme budget will subsequently be issued in final printed form as *Official Records of the General Assembly, Fiftieth Session, Supplement No. 6* (A/50/6/Rev.1).

95-14515 (E) 140695

160695

Section 28
Special expenses

Overview

28.1 Under section 28, Special expenses, resources are provided to cover specific expenditure requirements for (a) after-service health insurance; (b) compensatory payments; (c) general insurance charges; (d) bank charges; and (e) interorganizational security measures. Commencing in 1996-1997, resource requirements under section 28 will also include provision for pension payments to former Secretaries-General, formerly provided under section 1, Overall policy-making, direction and coordination.

Table 28.1 **Summary of requirements by programme**
(Thousands of United States dollars)

(1) *Regular budget*

Programme		*1992-1993 expenditures*	*1994-1995 appropriations*	*Resource growth*		*Total before recosting*	*Recosting*	*1996-1997 estimates*
				Amount	*Percentage*			
A.	After-service health insurance	24 064.0	28 671.3	2 384.8	8.3	31 056.1	5 370.5	36 426.6
B.	Compensatory payments	1 526.2	1 671.0	—	—	1 671.0	81.5	1 752.5
C.	General insurance	943.3	1 132.6	—	—	1 132.6	54.9	1 187.5
D.	Bank charges	99.5	162.9	—	—	162.9	7.8	170.7
E.	Interorganizational security measures	690.2	1 157.3	(210.2)	(18.1)	947.1	45.8	992.9
F.	Pension payments to former Secretaries-General	—	—	369.4	—	369.4	—	369.4
G.	UNITAR	262.6	—	—	—	—	—	—
	Total	**27 585.8**	**32 795.1**	**2 544.0**	**7.7**	**35 339.1**	**5 560.5**	**40 899.6**

(2) *Extrabudgetary resources*

1992-1993 expenditures	*1994-1995 estimates*	*Source of funds*	*1996-1997 estimates*
		(a) Services in support of:	
—	—	(i) United Nations organizations	—
—	—	(ii) Extrabudgetary activities	3 715.5
34.9	233.2	Support to peace-keeping operations	232.3
—	—	(b) Substantive activities	—
—	—	(c) Operational projects	—
34.9	**233.2**	**Total**	**3 947.8**
27 620.7	**33 028.3**	**Total (1) and (2)**	**44 847.4**

Table 28.2 **Summary by object of expenditure**
(Thousands of United States dollars)

(1) *Regular budget*

Object of expenditure	*1992-1993 expenditures*	*1994-1995 appropriations*	*Resource growth*		*Total before recosting*	*Recosting*	*1996-1997 estimates*
			Amount	*Percentage*			
Other staff costs	25 630.1	30 342.3	2 754.2	6.8	33 096.5	5 452.0	38 548.5
Supplies	5.5	—	—	—	—	—	—
Consultants	17.2	—	—	—	—	—	—
Equipment	20.0	—	—	—	—	—	—
General operating expenses	1 222.8	1 295.5	—	—	1 295.5	62.7	1 358.2
Other	690.2	1 157.3	(210.2)	—	947.1	45.8	992.9
Total	**27 585.8**	**32 795.1**	**2 544.0**	**7.7**	**35 339.1**	**5 560.5**	**40 899.6**

(2) *Extrabudgetary resources*

1992-1993 expenditures	*1994-1995 estimates*	*Object of expenditure*	*1996-1997 estimates*
34.9	212.9	Posts	227.9
—	—	Other staff costs	3 715.5
—	18.0	General operating expenses	4.2
—	0.2	Supplies	0.2
—	2.1	Equipment	—
34.9	**233.2**		**3 947.8**
27 620.7	**33 028.3**		**44 847.4**

Total (1) and (2)

A. After-service health insurance

Table 28.3 **Summary by object of expenditure**
(Thousands of United States dollars)

(1) *Regular budget*

Object of expenditure	*1992-1993 expenditures*	*1994-1995 appropriations*	*Resource growth*		*Total before recosting*	*Recosting*	*1996-1997 estimates*
			Amount	*Percentage*			
Other staff costs	24 064.0	28 671.3	2 384.8	8.3	31 056.1	5 370.5	36 426.6
Total	**24 064.0**	**28 671.3**	**2 384.8**	**8.3**	**31 056.1**	**5 370.5**	**36 426.6**

(2) *Extrabudgetary resources*

	1992-1993 expenditures	*1994-1995 estimates*	*Source of funds*	*1996-1997 estimates*
			(a) Services in support of:	
	—	—	(i) United Nations organizations	—
	—	—	(ii) Extrabudgetary activities	3 715.5
	—	—	(b) Substantive activities	—
	—	—	(c) Operational projects	—
Total	—	—		**3 715.5**
Total (1) and (2)	**24 064.0**	**28 671.3**		**40 142.1**

28.2 After-service health insurance coverage (for hospitalization, medical and dental expenses) is provided to retired staff members and their dependants in accordance with a General Assembly decision taken at its twenty-first session. By the same decision, coverage is also provided to staff members whose appointment is terminated as a result of disability. In all cases, coverage is available only to those who are eligible to receive a periodic benefit from the United Nations Joint Staff Pension Fund or under the rules governing compensation for service-incurred death, injury or illness. Based on General Assembly resolution 38/235 of 20 December 1983, the cost-sharing ratio applicable is 2 to 1 between the Organization and the participants.

28.3 By its resolution 40/258 of 18 December 1985, the General Assembly decided to extend the after-service health insurance coverage to former locally recruited staff who participated in a medical expense assistance plan under appendix E to the Staff Rules.

28.4 Following retirement, staff members are eligible for subsidized after-service coverage if they have participated in a United Nations system contributory health insurance plan for at least 10 years and are participating in a United Nations plan at the time of retirement. Staff members who upon retirement have participated in a United Nations contributory plan for at least five years are also eligible, provided that they pay the full premium for the period for which their participation falls short of the 10-year requirement for subsidized participation.

28.5 From a modest scale at its inception, the after-service health insurance programme has grown substantially in terms of both the number of participants and the cost. The growth in the resources required for the programme since the mid-1980's has been particularly marked, stemming from growth in the number of participants and the escalation of medical costs and the related insurance premiums. While the costs of medical services continue to rise at rates higher than the cost of living, some of the increase which otherwise would be incurred has been offset by cost containment measures implemented during the course of the biennium 1994-1995, particularly with respect to the health insurance plans offered at Headquarters. Efforts in this respect are ongoing and will be accorded a high priority in the forthcoming biennium.

Resource requirements (at current rates)

Posts

28.6 The estimated requirement of $31,056,100 includes a provision of $28,671,300 for payments to maintain the membership in the after-service health insurance programme at the level of the biennium 1994-1995 and growth of $2,384,800 owing to an anticipated annual 8.2 per cent increase in membership in the insurance programme during the biennium 1996-1997. The proposed provision of $31,056,100 is based on an assumption that 89.8 per cent of the total subsidy is attributable to the regular budget and 10.2 per cent to extrabudgetary accounts. The percentage is based on an analysis of the relative share of staff enrolled in the after-service health insurance programme at the end of 1994 who at the time of retirement were financed from extrabudgetary sources.

B. Compensatory payments

Table 28.4 **Summary by object of expenditure**
(Thousands of United States dollars)

Object of expenditure	*1992-1993 expenditures*	*1994-1995 appropriations*	*Resource growth*		*Total before recosting*	*Recosting*	*1996-1997 estimates*
			Amount	*Percentage*			
Other staff costs	1 526.2	1 671.0	—	—	1 671.0	81.5	1 752.5
Total	**1 526.2**	**1 671.0**	**—**	**—**	**1 671.0**	**81.5**	**1 752.5**

28.7 The estimates under compensatory payments provide for compensation to members of commissions, committees or other similar United Nations bodies in the event of death, injury or illness attributable to service with the United Nations. The responsibilities of the United Nations in this area, as well as the rules governing compensatory payments are detailed in ST/SGB/103/Rev.1.

28.8 Compensation is also provided to staff members or their dependants in event of death, injury or illness attributable to the performance by the staff member of official duties on behalf of the United Nations. The compensation is governed by the specific rules under appendix D to the Staff Rules, as detailed in ST/SGB/Staff Rules/Appendix D/Rev.1/Amend.1.

28.9 The costs incurred on both those accounts may include death annuities, monthly benefits for incapacity resulting from injury or illness, lump-sum indemnities for permanent disabilities, and medical, hospital and other directly related expenses.

Resource requirements (at current rates)

Other staff costs

28.10 The estimated requirements of $1,671,000 for compensatory payments are based on data for the years 1990 to 1994. The number of claims submitted for review by the Advisory Board on Compensation Claims and by the United Nations Claims Board amounted to 200 in 1990, 262 in 1991, 242 in 1992, 283 in 1993 and 570 in 1994. The sharp increase in the number of cases during 1994 is due to an increase in claims from field missions. The actual expenditure for compensatory payments amounted to $1,526,235 in 1992-1993 and to $1,049,400 in 1994.

C. General insurance

Table 28.5 **Summary by object of expenditure**
(Thousands of United States dollars)

Object of expenditure	*1992-1993 expenditures*	*1994-1995 appropriations*	*Resource growth*		*Total before recosting*	*Recosting*	*1996-1997 estimates*
			Amount	*Percentage*			
General operating expenses	943.3	1 132.6	—	—	1 132.6	54.9	1 187.5
Total	**943.3**	**1 132.6**	**—**	**—**	**1 132.6**	**54.9**	**1 187.5**

28.11 The provisions under general insurance relate mainly to insurance of the buildings and property at United Nations Headquarters, including automobiles and art works, as well as to insurance of aircraft used for travel by the Secretary-General and not owned by the United Nations, and insurance for other air travel. In addition, in accordance with General Assembly resolution 41/210 of 11 December 1986, the United

Nations has established a self-insurance plan for general liability risk in respect of acts occurring within the Headquarters district in the United States of America.

Resource requirements (at current rates)

General operating expenses

28.12 Property and liability insurance rates are projected to be relatively stable in 1996-1997 based on trends foreseen at the present time. As a result, no additional requirements are sought under this heading. The estimated requirements of $1,132,600 for general insurance include a provision of $670,100 for commercial insurance estimated on the basis of recent trends in premium rates in the commercial insurance markets. In addition, a provision of $462,500 would be required for general liability insurance, broken down as follows:

		United States dollars
(a)	Costs of irrevocable letter of credit (in replacement of costs of purchased certificates of insurance)	12 500
(b)	Costs of liability claims processing and associated legal expenses and expert advice	50 000
(c)	Two annual instalments ($200,000 each) for the liability reserve fund under the self-insurance plan in accordance with General Assembly resolution 41/210	400 000
	Total	**462 500**

D. Bank charges

Table 28.6 **Summary by object of expenditure**
(Thousands of United States dollars)

Object of expenditure	*1992-1993 expenditures*	*1994-1995 appropriations*	*Resource growth*		*Total before recosting*	*Recosting*	*1996-1997 estimates*
			Amount	*Percentage*			
General operating expenses	99.5	162.9	—	—	162.9	7.8	170.7
Total	**99.5**	**162.9**	**—**	**—**	**162.9**	**7.8**	**170.7**

Resource requirements (at current rates)

General operating expenses

28.13 The estimated requirements of $162,900, at the maintenance level, relate to airmail, cable and commission costs charged to the Organization by banks in respect of remittance and bank transfers made by them on its behalf.

E. Interorganizational security measures

1. United Nations share

Table 28.7 **Estimates for interorganizational security measures**
(Thousands of United States dollars)

(1) *Regular budget*

Object of expenditure	*1992-1993 expenditures*	*1994-1995 appropriations*	*Resource growth*		*Total before recosting*	*Recosting*	*1996-1997 estimates*
			Amount	*Percentage*			
Other	690.2	1 157.3	(210.2)	—	947.1	45.8	992.9
Total	**690.2**	**1 157.3**	**(210.2)**	**—**	**947.1**	**45.8**	**992.9**

(2) *Extrabudgetary resources*

1992-1993 expenditures	*1994-1995 estimates*	*Source of funds*	*1996-1997 estimates*
		(a) Services in support of:	
—	—	(i) United Nations organizations	—
		(ii) Extrabudgetary activities	
34.9	233.2	Support account for peace-keeping operations	232.3
—	—	(b) Substantive activities	—
—	—	(c) Operational projects	—
34.9	**233.2**	**Total**	**232.3**
725.1	**1 390.5**	**Total (1) and (2)**	**1 225.2**

28.14 In the biennium 1994-1995 provisions were made under interorganizational security measures for the United Nations share of the costs of insurance coverage for hazardous duty stations. The costs of interorganizational security measures themselves were met through the provision under the relevant resolution on unforeseen and extraordinary expenses, whereby the Secretary-General is authorized to enter into commitments in an amount not exceeding $500,000 during the biennium.

28.15 For 1996-1997, provision is proposed for the United Nations share of the costs of: (a) insurance coverage for hazardous duty stations; and (b) the staff of the Office of the United Nations Security Coordinator. The latter costs had, heretofore, not been sought in the context of the proposed programme budget, but in the context of the performance report. It is proposed that this situation be regularized as detailed further.

28.16 The United Nations Security Coordinator acts on behalf of the Secretary-General to ensure a coherent response by the United Nations to emergency situations and is responsible for all policy/procedural aspects of security matters. In addition, on behalf of the Secretary-General, the United Nations Security Coordinator takes decisions relating to evacuations. The United Nations Security Coordinator formulates detailed recommendations aimed at ensuring the safety and security of staff members and eligible family members of the entire United Nations system, initiates discussions with representatives of agencies, political departments and other relevant offices in the Organization on current and potential security-related problems and is responsible for coordinating, planning and implementing inter-agency security and safety programmes and for acting as the focal point for inter-agency cooperation.

28.17 The Office of the United Nations Security Coordinator is staffed by two staff members in the Professional category and above (one D-1 and one P-3) and two General Service staff members who, following a decision by the Administrative Committee on Coordination, are funded on an inter-agency basis. In

addition, one P-4 and one General Service post are provided on a non-reimbursable loan by UNDP, while one P-4 post is funded from the support account for peace-keeping operations.

28.18 Provision for the United Nations share of expenditures in respect of staff costs funded on an inter-agency basis had heretofore been sought on an *ex post facto* basis in the context of the performance report. It is now proposed that the United Nations share of staff and the operating costs of the Office of the United Nations Security Coordinator be included *ex ante* in the United Nations regular budget. The provision of the resolution on unforeseen and extraordinary expenditures would continue to apply to security expenses for which requirements cannot be foreseen at this stage, such as evacuation operations, provision of movable equipment for security purposes, short-term security personnel in an emergency and field security missions deemed necessary by the United Nations Security Coordinator. The activities undertaken by the staff of the Office are described below.

Activities

1. Parliamentary services

Parliamentary documentation. Annual report of the Secretary-General on respect for the privileges and immunities of officials of the United Nations and the specialized agencies and related organizations.

2. Published material

Technical material. United Nations Security Handbook.

3. Coordination, harmonization and liaison

(a) Coordinating all aspects of inter-agency security cooperation, including coordinating, planning and implementing inter-agency security and safety programmes, as well as organizing and managing evacuation of United Nations system staff, as necessary;

(b) Maintaining liaison with permanent missions as necessary;

(c) Annual reports to the Administrative Committee on Coordination through the Consultative Committee on Administrative Questions (Financial and Budgetary Questions) and the Consultative Committee on Administrative Questions (Personnel and General Administrative Questions);

(d) Developing an interorganizational programme for critical incident stress management, including the development of training programmes and strategies for organizations to respond to emergency situations where staff have been affected by violence;

(e) Organizing and conducting seminars and inter-agency meetings on an ad hoc basis, including preparation of all documentation required therefor;

(f) Preparation of daily/monthly security reports, which are sent to all United Nations organizations and are also distributed internally;

(g) Coordinating all issues and actions required in cases of arrest/detention of United Nations staff or dependants.

4. Administrative support services

(a) Monitoring on a close and continuous basis the prevailing level of security risk for each field office;

(b) Assessing the level of security planning and preparedness and ensuring the viability of a specific detailed security plan at each duty station for both international and national staff;

(c) Providing staff in the field with advice and guidance regarding the management of security problems;

(d) Briefing staff prior to assignment to their duty station;

(e) Collecting, interpreting and disseminating information regarding potential threats to security of United Nations system staff;

(f) Organizing, managing and coordinating evacuation of United Nations staff and dependants as necessary;

(g) Supervising and instructing the field-based corps of Field Security Officers, who are hired on an inter-agency basis by UNDP;

(h) Undertaking security-related evaluation missions to appraise specific aspects of security on site;

(i) Developing, organizing and presenting security-related training programmes and organizing training seminars in cooperation with the United Nations Training Service and services of other organizations;

(j) Conducting investigations, as required, into the deaths of staff members in the field under suspicious circumstances;

(k) Managing the malicious acts insurance policy covering approximately 20,000 staff members.

28.19 In accordance with the formula agreed on by the Administrative Committee on Coordination, the share of the United Nations for the cost of interorganizational security measures for 1996-1997 is estimated at $947,100, including the United Nations share of hazardous duty station life insurance coverage for 1996-1997 ($792,000).

2. Total requirements for interorganizational security measures

Table 28.8 **Summary by object of expenditure**
(Thousands of United States dollars)

Object of expenditure	*1992-1993 expenditures*	*1994-1995 appropriations*	*Resource growth*		*Total before recosting*	*Recosting*	*1996-1997 estimates*
			Amount	*Percentage*			
Posts	—	—	610.1	—	610.1	34.1	644.2
Insurance	—	—	3 600.0	—	3 600.0	173.8	3 773.8
Other staff costs	—	—	1.9	—	1.9	—	1.9
Travel	—	—	88.0	—	88.0	4.2	92.2
General operating expenses	—	—	69.3	—	69.3	3.6	72.9
Supplies and materials	—	—	6.2	—	6.2	0.4	6.6
Total	**—**	**—**	**4 375.5**	**—**	**4 375.5**	**216.1**	**4 591.6**

Table 28.9 **Post requirements**

Programme: Interorganizational security measures

	Established posts		*Temporary posts*					
	Regular budget		*Regular budget*		*Extrabudgetary resources*		*Total*	
	1994-1995	*1996-1997*	*1994-1995*	*1996-1997*	*1994-1995*	*1996-1997*	*1994-1995*	*1996-1997*
Professional category and above								
D-1	1	1	—	—	—	—	1	1
P-5	—	—	—	—	—	—	—	—
P-4	—	—	—	—	2	2	2	2
P-3	1	1	—	—	—	—	1	1
Total	**2**	**2**	**—**	**—**	**2**	**2**	**4**	**4**
General Service category								
Principal level	—	—	—	—	—	—	—	—
Other levels	2	2	—	—	1	1	3	3
Total	**2**	**2**	**—**	**—**	**1**	**1**	**3**	**3**
Grand total	**4**	**4**	**—**	**—**	**3**	**3**[a]	**7**	**7**

[a] One P-4 and one General Service post provided on a non-reimbursable loan by UNDP, one P-4 post funded from the support account for peace-keeping operations.

Posts

28.20 The requirements of $610,100 relate to salaries and common staff costs of the staff of the Office of the United Nations Security Coordinator (one D-1, one P-3 and two General Service posts).

Insurance

28.21 The cost for interorganizational insurance is estimated at $3.6 million. This relates to the provision of life insurance coverage which has been obtained on a system-wide basis beginning 1 January 1990 for staff members required to work in areas which, as determined by the United Nations Security Coordinator, might involve certain risks.

28.22 Coverage is related to malicious acts only, that is, for death or disability caused directly by war, invasion, acts of foreign enemies, hostilities, civil war, revolution, rebellion, insurrection, military or usurped power, riots or civil commotion, sabotage, explosion of war weapons, terrorist activities, murder, assault by foreign enemies or any attempt thereat.

28.23 The following categories of staff are covered by this policy: internationally recruited staff, experts and consultants on official mission/travel/daily subsistence allowance status and other United Nations official visitors in the designated countries, internationally recruited General Service staff as well as all staff paid on the basis of the Field Service category and locally recruited staff members (coverage is for service-incurred events only, including travel to and from the workplace).

Other staff costs

28.24 Estimated requirements of $1,900 would provide overtime during peak workload periods including during emergency security situations.

Travel

28.25 A provision of $88,000 is proposed to enable Security Coordination Officers to undertake security assessment missions to selected duty stations to ensure compliance with security instructions.

General operating expenses

28.26 An estimated $69,300 would provide for the following expenditures:

(a) $46,800 for communications, including long-distance telephone and facsimile charges;

(b) $1,400 for hospitality to cover requirements arising out of the official activities of the Office of the United Nations Security Coordinator;

(c) $6,400 for the maintenance of existing office automation equipment;

(d) $14,700 for subscription to a commercial information service which provides daily information in connection with global security issues.

Supplies and materials

28.27 Estimated requirements of $6,200 are requested for the purchase of supplies for office automation equipment and general office supplies for the Office of the United Nations Security Coordinator.

F. Pension payments to former Secretaries-General

Table 28.10 **Summary by object of expenditure**
(Thousands of United States dollars)

Object of expenditure	*1992-1993 expenditures*	*1994-1995 appropriations*	*Resource growth*		*Total before recosting*	*Recosting*	*1996-1997 estimates*
			Amount	*Percentage*			
Posts	—	—	369.4	—	369.4	—	369.4
Total	**—**	**—**	**369.4**	**—**	**369.4**	**—**	**369.4**

Posts

28.28 The requirements of $369,400 reflect the provision for retirement allowances of former Secretaries-General. These had hitherto been provided for under section 1.

United Nations A/50/6 (Sect. 29)

General Assembly
Fiftieth session

9 May 1995

Proposed programme budget for the biennium 1996-1997*

Part X Office of Internal Oversight Services

Section 29
Office of Internal Oversight Services

Contents

Page

Overview 1

A. Executive direction and management 5

Office of the Under-Secretary-General 5

B. Programme of work 7

* The present document contains section 29 of the proposed programme budget for the biennium 1996-1997. The complete proposed programme budget will subsequently be issued in final printed form as *Official Records of the General Assembly, Fiftieth Session, Supplement No. 6* (A/50/6/Rev.1).

95-14080 (E) 020695

060695

Part X
Office of Internal Oversight Services

Section 29
Office of Internal Oversight Services

Overview

29.1 The Office of Internal Oversight Services was established in September 1994 pursuant to General Assembly resolution 48/218 B of 29 July 1994. The Office has assumed the functions prescribed for the Office for Inspections and Investigations, as described in the note by the Secretary-General (A/48/640), and amended in resolution 48/218 B. The purpose of the Office is to assist the Secretary-General in fulfilling his internal oversight responsibilities through the exercise of its functions with regard to monitoring, internal audit, inspection, evaluation and investigation. In this connection, major redeployments have taken place among the units forming the Office with a view to fulfilling its revised and expanded mandate.

29.2 The Office is responsible for subprogramme 1 of programme 40, Administrative direction and management, and subprogramme 7 and part of subprogramme 5 of programme 42, Programme planning, budget and finance, of the medium-term plan for period 1992-1997, as revised (A/47/6/Rev.1), which were previously implemented by the Department of Administration and Management. The timing of the establishment of the new Office and the appointment of its head did not permit appropriate revisions to the medium-term plan to be included among those submitted to the General Assembly through the Committee for Programme and Coordination and the Advisory Committee on Administrative and Budgetary Questions in 1994. The programmatic structure and content of section 29 therefore modify the existing structure under the plan to reflect, *inter alia*, the mandate contained in General Assembly resolution 48/218 B.

29.3 In recent years the legislative bodies have taken decisions that will intensify and expand activities of the United Nations in peace-keeping and peacemaking, humanitarian affairs, the environment and other areas. As the activities and financial transactions of the Organization increase in number and complexity, there is a need to strengthen the oversight functions substantially, and this becomes even more necessary with the delegation of authority to regional commissions. Management and financial controls should be strengthened and monitored for their effectiveness in reducing or minimizing the risks of waste, fraud and abuse, and in facilitating the efficient and economical use of resources for the achievement of programmes established by legislative bodies. At the same time, there is a growing concern in the General Assembly that the extrabudgetary resources of the Organization have not received adequate audit attention to determine whether the resources are being utilized efficiently, economically and effectively and for the purposes intended, that is to say to ensure that there is value for money being spent. The design, development and launching into operation of the new Integrated Management Information System demands correspondingly new audit competence and skills in ensuring that effective controls are built into the system.

29.4 The objectives of the programme are to ensure compliance with resolutions of the General Assembly and with regulations, rules and policies of the United Nations; to monitor programme implementation and evaluate the results achieved; to prevent waste, abuse and malfeasance; to investigate alleged mismanagement and acts of misconduct; and to recommend policies and measures for the promotion of economy and efficiency based on these audits, inspections and investigations.

29.5 The Office initially had only the resources appropriated for the units whose functions it absorbed. At its forty-ninth session, in December 1994, the General Assembly approved the establishment of eight additional posts (two P-5, two P-4, one P-3, two General Service (Principal level) and one General Service

(Other level)). The proposals for 1996-1997 would entail further growth of $2,831,700 including provision for 14 new posts (1 D-1, 4 P-5, 4 P-4, 2 P-3, 1 General Service (Principal level) and 2 General Service (Other level)), partially offset by the redeployment to the Office of Human Resources Management of 1 P-5 and 2 General Service (Principal Level) posts with their functions.

Table 29.1 Summary of requirements by programme
(Thousands of United States dollars)

(1) *Regular budget*

Programme	*1992-1993 expenditures*	*1994-1995 appropriations*	*Resource growth*		*Total before recosting*	*Recosting*	*1996-1997 estimates*
			Amount	*Percentage*			
A. Executive direction and management							
Office of the Under-Secretary-General	—	618.1	840.9	136.0	1 459.0	100.4	1 559.4
B. Programme of work							
1. Evaluation	2 184.4	1 623.7	77.3	4.7	1 701.0	96.1	1 797.1
2. Audit and management consulting	6 502.7	7 826.2	(142.0)	(1.8)	7 684.2	533.2	8 217.4
3. Monitoring and inspection	—	1 148.7	228.2	19.8	1 376.9	78.3	1 455.2
4. Investigations	—	811.0	1 827.3	225.3	2 638.3	154.4	2 792.7
Total	**8 687.1**	**12 027.7**	**2 831.7**	**23.5**	**14 859.4**	**962.4**	**15 821.8**

(2) *Extrabudgetary resources*

1992-1993 expenditures	*1994-1995 estimates*	*Source of funds*	*1996-1997 estimates*
		(a) Services in support of:	
1 553.9	3 404.6	(i) United Nations organizations	3 696.9
		(ii) Extrabudgetary activities	
307.5	594.4	Support to extrabudgetary substantive activities	623.3
1 379.1	1 359.5	Technical cooperation activities	570.3
853.5	2 225.8	Peace-keeping operations	2 894.1
—	—	(b) Substantive activities	—
—	—	(c) Operational projects	—
4 094.0	**7 584.3**	**Total**	**7 784.6**
12 781.1	**19 612.0**	**Total (1) and (2)**	**23 606.4**

Table 29.2 **Summary by object of expenditure**
(Thousands of United States dollars)

(1) *Regular budget*

Object of expenditure	*1992-1993 expenditures*	*1994-1995 appropriations*	*Resource growth*		*Total before recosting*	*Recosting*	*1996-1997 estimates*
			Amount	*Percentage*			
Posts	8 304.6	11 080.4	2 195.5	19.8	13 275.9	871.0	14 146.9
Other staff costs	11.7	64.3	44.6	69.3	108.9	5.0	113.9
Consultants and experts	35.3	72.1	129.0	178.9	201.1	9.5	210.6
Travel	176.3	357.6	200.0	55.9	557.6	27.2	584.8
Contractual services	15.9	89.8	30.0	33.4	119.8	5.6	125.4
General operating expenses	80.6	151.5	(2.5)	(1.6)	149.0	7.2	156.2
Supplies and materials	18.2	22.2	52.6	236.9	74.8	4.8	79.6
Equipment	44.5	189.8	182.5	96.1	372.3	32.1	404.4
Total	**8 687.1**	**12 027.7**	**2 831.7**	**23.5**	**14 859.4**	**962.4**	**15 821.8**

(2) *Extrabudgetary resources*

1992-1993 expenditures	*1994-1995 estimates*	*Object of expenditure*	*1996-1997 estimates*
3 495 8	6 889.4	Posts	7 299.2
197.9	170.4	Other staff costs	23.4
—	25.0	Consultants and experts	25.0
325.3	465.0	Travel	360.0
30.9	25.0	General operating expenses	25.0
3.3	9.5	Supplies and materials	10.0
40.8	0.0	Equipment	42.0
4 094.0	**7 584.3**		**7 784.6**
12 781.1	**19 612.0**		**23 606.4**

Table 29.3 **Post requirements**

Organizational unit: Office of Internal Oversight Services

	Established posts		*Temporary posts*					
	Regular budget		*Regular budget*		*Extrabudgetary resources*		*Total*	
	1994-1995	*1996-1997*	*1994-1995*	*1996-1997*	*1994-1995*	*1996-1997*	*1994-1995*	*1996-1997*
Professional category and above								
USG	1	1	—	—	—	—	1	1
D-2	1	1	—	—	—	—	1	1
D-1	3	4	—	—	1	—	4	4
P-5	9	12	—	—	4	3	13	15
P-4/3	24	30	—	—	22	22	46	52
P-2/1	8	8	—	—	1	1	9	9
Total	**46**	**56**	**—**	**—**	**28**	**26**	**74**	**82**
General Service category								
Principal level	11	10	—	—	1	1	12	11
Other levels	15	17	—	—	8	8	23	25
Total	**26**	**27**	**—**	**—**	**9**	**9**	**35**	**36**
Other categories								
Local level	—	—	—	—	1	1	1	1
Total	**—**	**—**	**—**	**—**	**1**	**1**	**1**	**1**
Grand total	**72**	**83**	**—**	**—**	**38[a]**	**36[b]**	**110**	**119**

[a] 1994-1995: extrabudgetary posts for support to the Office of the United Nations High Commissioner for Refugees (1 P-5, 4 P-4, 3 P-3, 1 General Service (Principal level) and 2 General Service (Other level); the International Trade Centre UNCTAD/GATT (1 P-2); support to extrabudgetary administrative structures (1 P-4, 1 Local level); technical cooperation reimbursement resources (1 D-1, 2 P-5 and 3 General Service (Other level)); support to extrabudgetary substantive activities (1 P-4, 1 P-3 and 2 General Service (Other level)); support for peace-keeping operations (1 P-5, 7 P-4, 5 P-3 and 1 General Service (Other level)), totalling 38 posts.

[b] 1996-1997: extrabudgetary posts for support to the Office of the United Nations High Commissioner for Refugees (1 P-5, 4 P-4, 3 P-3, 1 General Service (Principal level), 2 General Service (Other level)); the International Trade Centre UNCTAD/GATT (1 P-2); support to extrabudgetary administrative structures (1 P-4, 1 Local level); technical cooperation reimbursement resources (1 P-5 and 3 General Service (Other level)); support to extrabudgetary substantive activities (1 P-4, 1 P-3 and 2 General Service (Other level)); support for peace-keeping operations (1 P-5, 7 P-4, 5 P-3 and 1 General Service (Other level)), totalling 36 posts.

A. Executive direction and management

Office of the Under-Secretary-General

Table 29.4 **Summary by object of expenditure**
(Thousands of United States dollars)

Object of expenditure	*1992-1993 expenditures*	*1994-1995 appropriations*	*Resource growth*		*Total before recosting*	*Recosting*	*1996-1997 estimates*
			Amount	*Percentage*			
Posts	—	618.1	533.6	86.3	1 151.7	85.4	1 237.1
Other staff costs	—	—	38.7	—	38.7	1.8	40.5
Consultants and experts	—	—	27.8	—	27.8	1.3	29.1
Travel	—	—	48.5	—	48.5	2.5	51.0
General operating expenses	—	—	143.5	—	143.5	7.0	150.5
Equipment	—	—	48.8	—	48.8	2.4	51.2
Total	**—**	**618.1**	**840.9**	**136.0**	**1 459.0**	**100.4**	**1 559.4**

Table 29.5 **Post requirements**

Organizational unit: Office of the Under-Secretary-General

	Established posts		*Temporary posts*					
	Regular budget		*Regular budget*		*Extrabudgetary resources*		*Total*	
	1994-1995	*1996-1997*	*1994-1995*	*1996-1997*	*1994-1995*	*1996-1997*	*1994-1995*	*1996-1997*
Professional category and above								
USG	1	1	—	—	—	—	1	1
P-5	1	1	—	—	—	—	1	1
P-4/3	1	1	—	—	—	—	1	1
Total	**3**	**3**	**—**	**—**	**—**	**—**	**3**	**3**
General Service category								
Principal level	1	2	—	—	—	—	1	2
Other levels	—	2	—	—	—	—	—	2
Total	**1**	**4**	**—**	**—**	**—**	**—**	**1**	**4**
Grand total	**4**	**7**	**—**	**—**	**—**	**—**	**4**	**7**

29.6 The Office of the Under-Secretary-General provides overall direction, supervision and management of the activities of the Office of Internal Oversight Services. It is responsible for the planning and monitoring of the work programme of the Office of Internal Oversight Services as well as for providing administrative support to the whole office.

Activities

Parliamentary services

Parliamentary documentation. Annual analytical and summary report to the General Assembly on the activities of the Office of Internal Oversight Services.

Resource requirements (at current rates)

Posts

29.7 Estimated requirements under this heading ($1,151,700) relate to salaries and common staff costs for established posts, representing a growth of $533,600. This reflects the full biennial cost of the posts added in the course of 1994-1995 as well as a new General Service (Principal level) post, and two General Service (Other level) posts redeployed from the Audit and Management Consulting Division.

29.8 In order to provide adequate supervision and management, the Office of the Under-Secretary-General needs to be strengthened. A General Service (Principal level) post is needed to provide support in the area of personnel and budget for the Office.

Other staff costs

29.9 Provisions of $38,700 under this heading relate to general temporary assistance in order to provide adequate coverage during peak periods and for staff on extended sick or maternity leave ($19,100) and overtime to cover peak periods and during sessions of the General Assembly ($19,600).

Consultants and experts

29.10 Resources amounting to $27,800 are proposed for consultancy services in the specialized areas related to oversight services.

Travel

29.11 Resources amounting to $48,500 are proposed to enable the Under-Secretary-General and senior staff to travel to various duty stations during the biennium on various activities of the office which would require intervention of senior management.

General operating expenses

29.12 Provisions of $143,500 relate to communications ($50,000) and maintenance of office automation equipment ($91,500), which are centralized under the Office of the Under-Secretary-General, as well as to hospitality by various officials of the Office to outside specialists in various fields ($2,000).

Equipment

29.13 An amount of $48,800 is required for office automation equipment and security equipment such as safes and shredders.

B. Programme of work

Subprogramme 1
Evaluation

Table 29.6 **Summary by object of expenditure**
(Thousands of United States dollars)

(1) *Regular budget*

Object of expenditure	*1992-1993 expenditures*	*1994-1995 appropriations*	*Resource growth*		*Total before recosting*	*Recosting*	*1996-1997 estimates*
			Amount	*Percentage*			
Posts	2 102.6	1 434.2	122.4	8.5	1 556.6	89.5	1 646.1
Consultants and experts	17.7	35.2	—	—	35.2	1.6	36.8
Travel	30.9	58.3	—	—	58.3	2.7	61.0
Contractual services	—	—	30.0	—	30.0	1.5	31.5
General operating expenses	19.8	41.3	(36.4)	(88.1)	4.9	0.2	5.1
Equipment	13.4	54.7	(38.7)	(70.7)	16.0	0.6	16.6
Total	**2 184.4**	**1 623.7**	**77.3**	**4.7**	**1 701.0**	**96.1**	**1 797.1**

(2) *Extrabudgetary resources*

1992-1993 expenditures	*1994-1995 estimates*	*Source of funds*	*1996-1997 estimates*
		(a) Services in support of:	
—	—	(i) United Nations organizations	—
		(ii) Extrabudgetary activities	
218.6	245.7	Technical cooperation activities	—
—	—	(b) Substantive activities	—
—	—	(c) Operational projects	—
218.6	**245.7**	**Total**	**—**
2 403.0	**1 869.4**	**Total (1) and (2)**	**1 797.1**

Table 29.7 **Post requirements**

Programme: Evaluation

	Established posts		*Temporary posts*					
	Regular budget		*Regular budget*		*Extrabudgetary resources*		*Total*	
	1994-1995	*1996-1997*	*1994-1995*	*1996-1997*	*1994-1995*	*1996-1997*	*1994-1995*	*1996-1997*
Professional category and above								
D-1	1	1	—	—	—	—	1	1
P-5	1	2	—	—	1	—	2	2
P-4/3	3	3	—	—	—	—	3	3
P-2/1	—	—	—	—	—	—	—	—
Total	**5**	**6**	**—**	**—**	**1**	**—**	**6**	**6**
General Service category								
Other levels	4	4	—	—	—	—	4	4
Total	**4**	**4**	**—**	**—**	**—**	**—**	**4**	**4**
Grand total	**9**	**10**	**—**	**—**	**1** [a]	**—**	**10**	**10**

[a] One P-5 post was financed by technical cooperation reimbursement resources.

29.14 The legislative authority for the activities under this heading derives from article 6, Evaluation, of the Regulations Governing Programme Planning, the Programme Aspects of the Budget, the Monitoring of Implementation and the Methods of Evaluation, subprogramme 7 of programme 42 of the medium-term plan for 1992-1997, as revised, paragraph 5 (c) (iii) of General Assembly resolution 48/218 B, as well as resolutions adopted at each session of the General Assembly endorsing recommendations of the Committee for Programme and Coordination on evaluation activities carried out by the Central Evaluation Unit.

29.15 The objectives of the subprogramme, as stated in regulation 6.1, are to determine, as systematically and objectively as possible, the relevance, efficiency, effectiveness and impact of the Organization's activities in relation to their objectives and to enable the Secretariat and Member States to engage in systematic reflections, with a view to increasing the effectiveness of the main programmes of the Organization by altering their content and, if necessary, reviewing their objectives. To this end, the Central Evaluation Unit will:

(a) Provide the Committee for Programme and Coordination and the General Assembly, at their request, with in-depth evaluation studies for their consideration;

(b) Provide the Committee for Programme and Coordination with reports on the implementation of recommendations adopted by the General Assembly three years after the recommendations are adopted;

(c) Provide functional and regional intergovernmental bodies, at their request, with in-depth evaluation studies within their areas of competence;

(d) Assist departments and offices throughout the Secretariat in implementing approved evaluation recommendations;

(e) Provide support to the evaluation activities of departments and offices.

Activities

1. Parliamentary services

Parliamentary documentation

(a) In-depth evaluation reports on the Department of Public Information and on peace-keeping operations: termination phase, biennial report on strengthening the role of evaluation and triennial review of the UNHCR evaluation (1996);

(b) In-depth evaluation reports on statistics and on the Department of Humanitarian Affairs, triennial reviews on peace-keeping: start-up phase (substantive components), on social development and on programme 45, Africa critical economic situation, recovery and evaluation (1997).

2. Internal oversight services

(a) Much greater emphasis than in the past will be given to review of, and assistance with, compliance. Interim reports on compliance will be made in annual reports of the Office of Internal Oversight Services;

(b) Advice and substantive support will be given to departments and offices engaged in their own evaluation activities. Training seminars on evaluation methodologies and techniques will continue to be conducted at the request of departments and offices.

Resource requirements (at current rates)

Posts

29.16 The estimated requirements of $1,556,600 reflect a positive growth of $122,400 relating to a new P-5 post for a senior evaluation officer.

Consultants and experts

29.17 Estimated resources of $35,200, at maintenance level, will be required for specialized in-depth evaluations to be conducted during the biennium 1996-1997.

Travel

29.18 The estimated requirements of $58,300, at maintenance level, relate to travel required to conduct structured interviews and to assess the status of implementation of evaluation recommendations *in situ*. In addition, staff members need to travel to attend inter-agency meetings on evaluation questions and to provide training on evaluation methodology to departments and offices away from Headquarters.

Contractual services

29.19 Provision of $30,000 is needed under database rental for connection to the NEXIS and DIALOG data bases.

General operating expenses

29.20 The estimated amount of $4,900 relates to rental and maintenance of data-processing equipment ($3,400), reflecting a negative growth of $700, and hospitality ($1,500) at maintenance level. The negative growth of $35,700 reflects centralization of the provision for maintenance of office automation equipment under the Office of the Under-Secretary-General.

Equipment

29.21 The estimated requirements of $16,000 would provide for replacement of office automation equipment, reflecting a negative growth of $38,700.

Subprogramme 2
Audit and management consulting

Table 29.8 **Summary by object of expenditure**
(Thousands of United States dollars)

(1) *Regular budget*

Object of expenditure	*1992-1993 expenditures*	*1994-1995 appropriations*	*Resource growth*		*Total before recosting*	*Recosting*	*1996-1997 estimates*
			Amount	*Percentage*			
Posts	6 202.0	7 111.0	(173.6)	(2.4)	6 937.4	482.8	7 420.2
Other staff costs	11.7	38.6	2.0	5.1	40.6	2.0	42.6
Consultants and experts	17.6	31.0	61.8	199.3	92.8	4.3	97.1
Travel	145.4	288.3	27.4	9.5	315.7	15.6	331.3
Contractual services	15.9	89.8	—	—	89.8	4.1	93.9
General operating expenses	60.8	110.2	(109.6)	(99.4)	0.6	—	0.6
Supplies and materials	18.2	22.2	3.9	17.5	26.1	1.7	27.8
Equipment	31.1	135.1	46.1	34.1	181.2	22.7	203.9
Total	**6 502.7**	**7 826.2**	**(142.0)**	**(1.8)**	**7 684.2**	**533.2**	**8 217.4**

(2) *Extrabudgetary resources*

1992-1993 expenditures	*1994-1995 estimates*	*Source of funds*	*1996-1997 estimates*
		(a) Services in support of:	
1 553.9	3 404.6	(i) United Nations organizations	3 696.9
		(ii) Extrabudgetary activities	
307.5	594.4	Support to extrabudgetary substantive activities	623.3
1 160.5	1 113.8	Technical cooperation	570.3
853.5	2 225.8	Peace-keeping operations	2 894.1
—	—	(b) Substantive activities	—
—	—	(c) Operational projects	—
3 875.4	**7 338.6**		**7 784.6**
10 378.1	**15 164.8**		**16 002.0**

Table 29.9 **Post requirements**

Programme: Audit and management consulting

	Established posts		*Temporary posts*					
	Regular budget		*Regular budget*		*Extrabudgetary resources*		*Total*	
	1994-1995	*1996-1997*	*1994-1995*	*1996-1997*	*1994-1995*	*1996-1997*	*1994-1995*	*1996-1997*
Professional category and above								
D-2	1	1	—	—	—	—	1	1
D-1	1	1	—	—	1	—	2	1
P-5	5	6	—	—	3	3	8	9
P-4/3	16	14	—	—	22	22	38	36
P-2/1	6	7	—	—	1	1	7	8
Total	**29**	**29**	**—**	**—**	**27**	**26**	**56**	**55**
General Service category								
Principal level	9	7	—	—	1	1	10	8
Other levels	7	5	—	—	8	8	15	13
Total	**16**	**12**	**—**	**—**	**9**	**9**	**25**	**21**
Other categories								
Local level	—	—	—	—	1	1	1	1
Total	**—**	**—**	**—**	**—**	**1**	**1**	**1**	**1**
Grand total	**45**	**41**	**—**	**—**	**37**	**36**	**82**	**77**

29.22 The legislative authority for the activities under this heading derives from subprogramme 1 of programme 40 and subprogramme 7 of programme 42 of the medium-term plan for 1992-1997, as revised, as well as from General Assembly resolution 48/218 B.

29.23 Prior to the establishment of the Office for Inspections and Investigations, and later the Office of Internal Oversight Services, the Internal Audit Division was responsible for the activities of this subprogramme. Since then, management auditing has been intensified. Organizationally, the former Management Advisory Service was incorporated into the Internal Audit Division, which was renamed the Audit and Management Consulting Division.

29.24 The overall objective of this subprogramme is to provide comprehensive audit services for all United Nations activities for which the Secretary-General has administrative responsibility. These should promote reliability of information; compliance with policies, regulations, rules and procedures; the safeguarding of assets; the economical, efficient and effective use of resources (value for money); and the accomplishment of established objectives and goals for operations and programmes.

29.25 The specific tasks as set out in General Assembly resolution 48/218 B are the following:

(a) To examine, review and appraise the use of financial resources of the United Nations in order to guarantee the implementation of programmes and legislative mandates;

(b) To ascertain compliance of programme managers with the financial and administrative regulations and rules, as well as with the approved recommendations of external oversight bodies;

(c) To undertake management audits, reviews and surveys to improve the structure of the Organization and its responsiveness to the requirements of programmes and legislative mandates;

(d) To monitor the effectiveness of the systems of internal control of the Organization.

29.26 The Audit programmes for each year of the biennium 1996-1997 will reflect the above objectives. The planning of the audit assignments will be based on risk analysis and developed in accordance with relevant professional criteria and Secretariat priorities. Normally, audit assignments are carried out by a team of auditors. The teams will be staffed either by auditors from a single duty station or from different duty stations, including resident auditors in peace-keeping missions, depending on the objectives and scope of an audit.

Activities

Internal oversight services

(a) During the biennium, major aspects of activities at Headquarters, the United Nations Office at Geneva, the United Nations Office at Vienna, and all regional commissions will be reviewed. Operations of UNHCR, the United Nations International Drug Control Programme, UNEP, UNCHS, the Centre for Human Rights, UNCTAD and the International Trade Centre UNCTAD/GATT will also be audited. The scope of these audits will include the system of internal controls, payroll and personnel management, staff costs and allowances, procurement and inventory controls;

(b) All peace-keeping operations will be audited during the biennium 1996-1997, with the major ones being examined two or three times during this period. In addition to areas mentioned, audits will concentrate on the in-and-out surveys, and the handling of contracts, especially procurement and service contracts. Safeguarding of assets in peace-keeping operations and cash security will be kept under constant scrutiny. Management audit of civilian components will be performed in order to ensure that human resources are utilized properly;

(c) During the conduct of more than 200 reviews of United Nations assets, liabilities, income and expenditures, the Audit and Management Consulting Division would determine the extent to which assets are properly safeguarded; compliance with the established regulations, rules, policies, procedures and standards governing the acquisition, use, recording or control of the Organization's accounts; the reliability and integrity of financial and operating information; and the adequacy and effectiveness of related internal controls;

(d) Approximately 20 management audits are to be undertaken. These audits will examine and evaluate the performance of the management of the Organization to ensure that its goals are met with economy, effectiveness and efficiency. They will focus on the review of management practices and processes, the assessment of internal controls and the identification of cost savings and productivity gains. About 70 per cent of these audits will be undertaken in the regional commissions and peace-keeping operations and for technical cooperation projects and humanitarian assistance programmes;

(e) Approximately 20 electronic data-processing audits will be undertaken. They will involve determining the extent to which a rational policy and set of procedures governing the acquisition, safeguarding and management of the utilization of computer equipment, software and systems are established and implemented; standards for the economical and efficient production and utility and quality of electronic data-processing outputs are set and observed; and related internal controls are adequate and effective. Also falling under this type of audit, which will be undertaken mostly at Headquarters and main duty stations, is the continuing audit of the implementation of the Integrated Management Information System (IMIS) to ensure its auditability and that security and internal controls are installed and functioning as intended. The audit of IMIS will include the development and utilization of computer-assisted audit techniques as well as the designing of data retrieval programmes to access both applications data and the audit trail in order to automate to the greatest extent possible the auditing process of transactions and systems carried under IMIS;

(f) Ten major reviews and surveys will be undertaken to identify management problems or deficiencies requiring management improvements and to improve the structure of the Organization and its responsiveness to the requirements of programmes and legislative mandates.

Resource requirements (at current rates)

Posts

29.27 Estimated requirements for the Division, including its European and African sections at Geneva and Nairobi, are $6,937,400. The related negative growth of $173,600 reflects redeployment of three posts (one P-5 and two General Service (Principal level)) with their functions to the Office of Human Resources Management, offset by the creation of four new posts (one D-1, two P-5 and one General Service (Other level)).

29.28 One D-1 post is needed in the Audit and Management Consulting Division to assist the Director in administering the mandate and the staff and activities of the Division, to act as officer-in-charge during the absence of the Director and to produce, on his/her own, some of the planned outputs of the Division. This position replaces an extrabudgetary post. The responsibilities include quality assurance, career development and training, audit direction and consultation.

29.29 Two P-5 posts are needed for Section Chiefs at Geneva (European Section) and Nairobi (African Section). They will provide proper supervision of the audit staff and ensure high quality audit services to the auditees. The Chief at Geneva will be responsible for general and extrabudgetary funds administered by Europe-based entities, including the United Nations Office at Geneva, the United Nations Office at Vienna, UNCTAD, the Centre for Human Rights, the International Trade Centre, ECE, the United Nations International Drug Control Programme, the International Court of Justice, UNIDIR, UNRISD and the Interregional Crime and Justice Research Institute. The Chief of the African Section manages the audits of general and extrabudgetary funds administered by entities based in Africa and the Middle East. They are UNEP, UNCHS, ECA and the Multinational Programming and Operational Centres (MULPOCs), ESCWA and the African Institute for Economic Development and Planning.

29.30 One General Service (Other level) post is needed in the Office of the Director to provide services related to the LAN.

Other staff costs

29.31 Resources estimated at $40,600 are required for general temporary assistance ($32,500), at the maintenance level, to provide coverage during peak periods for staff on extended sick leave or maternity leave, and for overtime ($8,100), reflecting a growth of $2,000, for periods of peak workload and other exigencies.

Consultants and experts

29.32 Resources estimated at $92,800, reflecting a growth of $61,800, are required for the biennium. Growth relates to specialized technology, increased involvement in management audit and intricate applications, all of which require additional expertise.

Travel

29.33 Resources estimated at $315,700, reflecting a growth of $27,400, are required. Additional travel is necessary because, in addition to the continued emphasis on peace-keeping missions, there is a new increased emphasis on management audits and a need for electronic data-processing coverage outside Headquarters, which has been negligible for the last five years.

Contractual services

29.34 Resources estimated at $89,800, at maintenance level, are required to provide specialized training to staff of the Office for Inspections and Investigations in management audit, EDP audits and various seminars which are not included in the global training programme of the Office of Human Resources Management.

General operating expenses

29.35 Provision is made for official functions ($600) at maintenance base level. The negative growth of $109,600 reflects redeployment to the Office of the Under-Secretary-General of provisions for maintenance of office automation equipment ($109,000) and the elimination of a provision for hospitality ($600).

Supplies and materials

29.36 The requirement is estimated at $26,100, reflecting growth of $3,900 owing to increased activities.

Equipment

29.37 Resources estimated at $181,200 are proposed. Increased staffing and the need for integrated administrative systems have significantly increased equipment requirements. The LAN requested for Nairobi should improve the efficiency of this section. Another LAN will be needed by Headquarters to support not only the Audit and Management Consulting Division, but also other sections of the Office of Internal Oversight Services. Additional laptops are needed to support the planned increase in global coverage. Provision is also made for replacement of office automation equipment.

Subprogramme 3
Monitoring and inspection

Table 29.10 **Summary by object of expenditure**
(Thousands of United States dollars)

Object of expenditure	*1992-1993 expenditures*	*1994-1995 appropriations*	*Resource growth*		*Total before recosting*	*Recosting*	*1996-1997 estimates*
			Amount	*Percentage*			
Posts	—	1 106.1	212.2	19.1	1 318.3	75.5	1 393.8
Other staff costs	—	25.7	(11.7)	(45.5)	14.0	0.6	14.6
Consultants and experts	—	5.9	7.7	130.5	13.6	0.7	14.3
Travel	—	11.0	17.4	158.1	28.4	1.3	29.7
General operating expenses	—	—	—	—	—	—	—
Equipment	—	—	2.6	—	2.6	0.2	2.8
Total	**—**	**1 148.7**	**228.2**	**19.8**	**1 376.9**	**78.3**	**1 455.2**

Table 29.11 **Post requirements**

Programme: Monitoring and inspection

	Established posts		*Temporary posts*					
	Regular budget		*Regular budget*		*Extrabudgetary resources*		*Total*	
	1994-1995	*1996-1997*	*1994-1995*	*1996-1997*	*1994-1995*	*1996-1997*	*1994-1995*	*1996-1997*
Professional category and above								
D-1	1	1	—	—	—	—	1	1
P-5	1	1	—	—	—	—	1	1
P-4/3	1	3	—	—	—	—	1	3
P-2/1	1	1	—	—	—	—	1	1
Total	**4**	**6**	**—**	**—**	**—**	**—**	**4**	**6**
General Service category								
Other levels	3	3	—	—	—	—	3	3
Total	**3**	**3**	**—**	**—**	**—**	**—**	**3**	**3**
Grand total	**7**	**9**	**—**	**—**	**—**	**—**	**7**	**9**

29.38 The legislative authority for the activities under this heading derive from subprogramme 5 of programme 42 of the medium-term plan for the period 1992-1997, as revised, and article 5 of the Regulations Governing Programme Planning, the Programme Aspects of the Budget, the Monitoring of Implementation and the Methods of Evaluation. More recent General Assembly resolutions also provide legislative guidance, namely, resolutions 43/219 of 21 December 1988, 44/194 of 21 December 1989 and 48/218 A of 23 December 1993 and B of 29 July 1994 on the improvement of the management of the United Nations.

29.39 This subprogramme will be implemented by the Central Monitoring and Inspection Unit. The Unit will continue to assume the responsibility prescribed in regulations 5.1 to 5.3 of the Regulations Governing Programme Planning, the Programme Aspects of the Budget, the Monitoring of Implementation and the Methods of Evaluation. Monitoring will be strengthened in order to make it a more useful instrument for management as well as for reporting to Member States on the Organization's biennial programme performance. In addition, the system of monitoring of programme performance will be further elaborated in order to provide an analytical assessment of performance, especially with regard to the changes introduced during the process of implementation of the programme budget and the reasons for such changes. To this effect, monitoring and self-evaluation will be viewed as an integral part of the managerial oversight responsibility, which requires the generation, on a routine basis, of data and analytical information on implementation and results achieved, including the use of achievement indicators where appropriate. General guidelines for the establishment of such managerial oversight functions, including the development of performance indicators will be provided for the guidance of programme managers. The implementation of these guidelines will be monitored regularly to review how programme managers, throughout the Organization, collect and assemble information on their respective programmes in order to keep apprised of progress, analyse performance, enhance the economy and efficiency of implementation and establish adequate management accountability systems. Linkage between programme performance and the programme planning cycle, particularly the programme budget, will be the focus of the dialogue with programme managers.

29.40 The new function of inspections will also be undertaken under this subprogramme. Ad hoc inspections of programmes and organizational units will be conducted whenever and wherever there are indications that programme oversight needs to be strengthened, so as to improve programme delivery and minimize the potential for wasteful utilization of resources. Recommendations and assistance to strengthen programme management will be provided as appropriate.

29.41 The objectives of the subprogramme are:

(a) To enhance and strengthen the management of programmes and ensure that the monitoring and self-evaluation functions in each organizational unit of the Secretariat are viewed as an integral part of management oversight responsibility for the efficiency and effectiveness of programme performance;

(b) To provide support to managers in establishing a proper system of programme monitoring, including the development of performance indicators and the analytical assessment of performance. Such a system requires gathering of information on programme implementation and results achieved;

(c) To provide necessary analytical and transparent information on actual programme performance to intergovernmental bodies in order to bring about a constructive dialogue between Member States and the Secretariat on the ways for achieving the mandates and objectives of the Organization;

(d) To undertake quick analyses for the identification of problems affecting the efficient implementation of programmed activities and recommend corrective measures as appropriate.

29.42 During the biennium 1996-1997, systems for combining the monitoring of programme performance and self-evaluation will be set in place on the basis of the guidelines to be prepared by the Office of Internal Oversight Service in 1996. These guidelines will continue to be reviewed and improved, and the effectiveness of the systems in place will be monitored. Assistance will be provided to management to strengthen their programme oversight functions, including the development of performance indicators. Programme delivery will be monitored regularly, with the aim of ascertaining implementation, the compatibility of resources utilized with final deliveries and the relevance of the changes introduced during

implementation to the objectives of the medium-term plan. The programme performance report for the biennium 1994-1995, to be provided to the General Assembly through the Committee for Programme and Coordination in 1996, will contain analytical information derived from the above systems.

29.43 Ad hoc inspections of programmes and organizational units will be conducted in a limited time-frame whenever and wherever there are good reasons to believe that programme oversight is inadequate and the potential for the non-attainment of the objectives of the medium-term plan of the Organization and wasteful utilization of resources is significant. These inspections will include:

(a) A broad review of the activities undertaken by departments and offices in order to identify weaknesses affecting the efficiency and effectiveness of programme delivery;

(b) A broad review of programme oversight and control mechanisms to assess their adequacy;

(c) Recommendations for addressing the weaknesses of the programmes and for strengthening their management;

(d) Follow-up action to ensure compliance with recommendations.

Activities

1. Parliamentary services

(a) *Parliamentary documentation.* Report on the programme performance of the Organization for the biennium 1994-1995;

(b) *Substantive services.* Servicing the Committee for Programme and Coordination at its thirty-sixth session and the Fifth Committee of the General Assembly at the fifty-first session.

2. Internal oversight services

(a) Biannual monitoring of programme implementation of 27 departments and offices, including the further refinement of the database and relevant instructions;

(b) Six inspections (UNEP, UNCHS, the Department for Policy Coordination and Sustainable Development, the Crime Prevention and Criminal Justice Branch, ECLAC and ESCAP);

(c) Follow-up to the recommendations emanating from inspections conducted in 1995 (UNCTAD and the Field Administration and Logistics Division/Department of Peace-keeping Operations) and in 1996-1997;

(d) Further improvement of the guidelines for incorporating self-evaluation in the reporting exercise and assessment of the adequacy and effectiveness of the systems and procedures followed by programme managers in accordance with the guidelines;

(e) Assistance to programme managers in the development of performance indicators and in strengthening their oversight functions.

Resource requirements (at current rates)

Posts

29.44 Resources requirements are estimated at $1,318,300, reflecting a real growth of $212,200. The current staffing of the Central Monitoring and Inspection Unit (one D-1, one P-5, one P-4, one P-2 and three General Service) has remained unchanged for the last 10 years. The resources were commensurate with the workload, responsibilities and coverage of the monitoring exercise, as originally conceptualized. Yet, over the years, and particularly since 1992, the monitoring and reporting of programme performance became more comprehensive in its coverage to include technical cooperation and activities which in earlier bienniums were excluded from the exercise. At the same time, the information base of the exercise expanded quantitatively and qualitatively to include work-months data and detailed analysis of departures from programmed commitments. All of these changes were introduced at the request of the Committee for Programme and Coordination.

29.45 With the establishment of the Office of Internal Oversight Services, the responsibility of the Central Monitoring and Inspection Unit has been expanded in order to address the concerns of Member States

regarding the qualitative nature of reporting programme performance and the need to enhance programme management capability. Thus, the Unit was entrusted with the task of incorporating self-evaluation as part of the monitoring exercise. This included the development of guidelines and the provision of assistance to managers in strengthening their oversight responsibility. It was also given inspection responsibilities to examine the efficiency and effectiveness of implementation and the adequacy of programme oversight functions. In order for the Unit to discharge its expanded functions in the course of 1996-1997, additional staff resources of two P-4 posts will be required.

29.46 The increase in staff resources from the current level of 96 professional work-months, including the Chief of the Unit, to 144 work-months is needed, first and foremost, to strengthen the thoroughness and analytical aspect of the monitoring and reporting exercise and to undertake the new function of inspection. At the present time, the three professional staff, under the direction of the Chief of the Unit, review the activities of a total of 27 departments and offices. With one additional post at the P-4 level, the number of departments and offices reviewed per officer will be decreased, thus allowing officers to devote more time to the analysis of submissions received and more frequent follow-up with submitting departments and offices, and improving the qualitative content of the report of the Secretary-General on programme performance. This would also allow for the intensification of the Unit's efforts in conducting ad hoc inspections and follow-up to those inspections to ensure compliance and assist programme managers in the development of performance indicators, as well as in improving their existing programme oversight systems and reporting. One additional P-4 post will also be required for merging self-evaluation with the monitoring function. In consultation with programme managers, guidelines for the development of performance indicators will be developed and data, and analytical information on results achieved will be generated on a regular basis and integrated in the programme performance report.

Other staff costs

29.47 The estimated requirements of $14,000 relate to general temporary assistance ($8,200) and overtime ($5,800).

Consultants and experts

29.48 Resources estimated at $13,600, representing a growth of $7,700 over the 1994-1995 level, are projected. The increase of consultant resources is needed to cover some of the expertise required for inspections to be conducted during the biennium.

Travel

29.49 Resources estimated at $28,400, including a real growth of $17,400, are proposed. The growth relates to travel of staff to conduct inspections at overseas duty stations based on results of the monitoring of programmes.

Equipment

29.50 A provision of $2,600 is proposed for office automation equipment.

Subprogramme 4
Investigations

Table 29.12 Summary by object of expenditure
(Thousands of United States dollars)

Object of expenditure	1992-1993 expenditures	1994-1995 appropriations	Resource growth		Total before recosting	Recosting	1996-1997 estimates
			Amount	Percentage			
Posts	—	811.0	1 500.9	185.0	2 311.9	137.8	2 449.7
Other staff costs	—	—	15.6	—	15.6	0.6	16.2
Consultants and experts	—	—	31.7	—	31.7	1.6	33.3
Travel	—	—	106.7	—	106.7	5.1	111.8
Supplies and materials	—	—	48.7	—	48.7	3.1	51.8
Equipment	—	—	123.7	—	123.7	6.2	129.9
Total	**—**	**811.0**	**1 827.3**	**225.3**	**2 638.3**	**154.4**	**2 792.7**

Table 29.13 Post requirements

Programme: Investigations

	Established posts		Temporary posts					
	Regular budget		Regular budget		Extrabudgetary resources		Total	
	1994-1995	1996-1997	1994-1995	1996-1997	1994-1995	1996-1997	1994-1995	1996-1997
Professional category and above								
D-1	—	1	—	—	—	—	—	1
P-5	1	2	—	—	—	—	1	2
P-4/3	3	9	—	—	—	—	3	9
P-2/1	1	—	—	—	—	—	1	—
Total	**5**	**12**	**—**	**—**	**—**	**—**	**5**	**12**
General Service category								
Principal level	1	1	—	—	—	—	1	1
Other levels	1	3	—	—	—	—	1	3
Total	**2**	**4**	**—**	**—**	**—**	**—**	**2**	**4**
Grand total	**7**	**16**	**—**	**—**	**—**	**—**	**7**	**16**

29.51 The legislative authority for activities under this heading derives from General Assembly resolution 48/218 B.

29.52 The specific objective of the subprogramme is to investigate reports of violations of United Nations regulations, rules and pertinent administrative issuances and to transmit to the Secretary-General the results of such investigations, together with appropriate recommendations to guide the Secretary-General in deciding on jurisdictional or disciplinary action to be taken.

29.53 This subprogramme is implemented by the Investigations Unit of the Office, which was established in February 1994 through redeployment of posts from the former Internal Audit Division and Management Advisory Service. As well as carrying out the above-mentioned investigations, the Unit will focus on assessing the potential within programme areas for fraud and other violations through the analysis of systems of control in high-risk operations, as well as offices away from Headquarters. On the basis of this analysis, recommendations will be made for corrective action to minimize the risk of commission of such

violations. The Unit will also receive and investigate reports from staff and other persons engaged in activities under the authority of the Organization suggesting improvements in programme delivery and reporting, perceived cases of possible violations of rules or regulations, mismanagement, misconduct, waste of resources or abuse of authority, as well as misuse of funds and waste or abuse of United Nations facilities or privileges. Falsely accused staff members will be cleared fully. In this connection, the Unit will continue to run the confidential reporting facility established under administrative instruction ST/AI/397 and paragraph 18 of the Secretary-General's Bulletin ST/SGB/273, both dated 7 September 1994.

29.54 At its creation, 37 cases were passed to the Unit for investigation, and 77 more have been added during the last 10 months. Sixteen of these new cases were brought in by complainants through the confidential reporting facility established under administrative instruction ST/AI/397, which was issued to implement paragraphs 6 and 7 of General Assembly resolution 48/218 B. Three suggestions for improving the use of resources were also received under that reporting facility. As is inherent in the nature of investigation cases, while only 16 of this total of 114 cases have been closed, 20 are at various stages of investigation or follow-up for disciplinary action or prosecution. At the time of the preparation of the present programme budget proposals, new cases were coming in for investigation at the rate of five per month.

29.55 Some of the cases involve numerous and large-scale activities and transactions. Each of these requires the concerted efforts of two or three investigators and may last for a year or more. Other cases can be assigned one or two to an investigator.

29.56 In its resolution 47/211 of 23 December 1992 the General Assembly requested the Secretary-General to make proposals on establishing measures to recover misappropriated funds and on seeking criminal prosecution of those who have committed fraud against the Organization. Accordingly, each case is investigated with the aim of identifying the person or persons responsible for the violation, instituting disciplinary and/or judicial actions against them, recovering the losses and damages to the United Nations and recommending measures to the senior Programme Manager to preclude, or at least minimize, the recurrence of the violation and losses. The Investigations Unit will follow up periodically on each recommendation to ensure that the measures are in place and functioning effectively.

29.57 Investigative work is time consuming not only in terms of the need to uncover the facts of the case and establish the evidence of the violation and the level of losses and damages to the Organization, but also in terms of the need to assist the Office of Legal Affairs and the Office of Human Resources Management to prepare the case for disciplinary action and, whenever warranted, for civil or criminal action in the courts. On the other hand, the investigators are also duty-bound to clear those who are falsely accused of wrong-doing and to establish the basis of disciplinary action against those who had wilfully made the false accusation. Cases brought to court entail enormous amounts of additional work for the investigators. They have to liaise with and provide supporting evidence and technical assistance to police and prosecutorial authorities, and testify at grand juries as well as in court. The international nature of United Nations activities also complicates the investigative function.

29.58 It is evident that both the accumulated and new cases constitute a workload that is too heavy for the small Unit to clear. To help the Unit with the most urgent cases, several staff were co-opted on an emergency basis from the Audit and Management Consulting Division: one Auditor and one Audit Assistant for nine months and another Auditor for five months. This ad hoc arrangement, however, had to be terminated because it was setting back the work of the Audit and Management Consulting Division.

Activities

Internal oversight services

(a) Investigate approximately 190 cases;

(b) Operate the confidential reporting facility instituted under administrative instruction ST/AI/397 to receive and investigate suggestions for improvements in programme delivery as well as reports of perceived cases of possible violations of rules or regulations, mismanagement, misconduct, waste of resources or abuse of authority;

(c) Analyse systems of control in four high-risk operations and offices away from Headquarters to assess the potential in their programme areas for fraud and other violations. Based on the analyses, recommend corrective action to preclude the commission of such violations;

(d) Prepare a manual setting out the operational standards and guidelines of the Investigations Unit;

(e) Formulate, in consultation with the Office of Legal Affairs and the Office of Human Resources Management, agreed definitions of the elements that constitute the various acts of violations of rules or regulations, mismanagement, misconduct, waste of resources, abuse of authority, misuse of funds and waste or abuse of United Nations facilities or privileges;

(f) Develop a computerized management information system for the storage, analysis, retrieval and organization into useful reports of the investigations data;

(g) Train investigative staff in basic and specific techniques and aspects of investigation.

Resource requirements (at current rates)

Posts

29.59 Estimated requirements of $2,311,900, including a growth of $1,500,900, reflect six new posts (one P-5, two P-4, two P-3 and one General Service (Other level)) and the full cost in 1996-1997 of one P-4, one P-3 and one General Service (Principal level post) added at the end of 1994 as well as the internal redeployment of one D-1, one P-4, one P-3 and one General Service (Other level) post to the Investigations Unit and one P-2/1 out of the Unit. To deal with the estimated workload of 190 cases in a timely manner according to an order of priority, requires at least 12 investigators at the Professional level and above and 4 support staff at the General Service level, one of whom should be at the Principal level. The complexity and scope of the functions to be performed by the Investigations Unit, as well as the high level of Government authorities, Secretariat management and officials of international organizations, such as the INTERPOL, that it will have to deal with in the performance of those functions require no less than the proposed staffing complement.

Other staff costs

29.60 A provision of $15,600 is required under this heading for general temporary assistance to cover extended sick leave and maternity leave of regular staff ($5,900) and for overtime payments of General Service staff who have to work beyond office hours to help complete urgent cases ($9,700).

Consultants and experts

29.61 Aspects of investigation that require expertise not possessed by the staff of the Unit will require the commissioning of either individual or institutional consultants, for which a provision of $31,700 is requested. An example of an institutional consultancy is the forensic analysis of evidence gathered in the investigation of fraud or theft of cash or other assets of the United Nations, in order to determine how the fraud or theft was effected and thereby help identify the perpetrator.

Travel

29.62 Field investigations at the major duty stations at Geneva, Vienna, Nairobi, Bangkok, Amman, Addis Ababa, Santiago, at the subregional offices of the regional commissions and at the numerous sites of peace-keeping operations and of UNHCR, the United Nations Department for Policy Coordination and Sustainable Development, the Department for Development Support and Management Services and regional commissions' country programmes and projects, require a minimum of $106,700 for the 1996-1997.

Supplies and materials

29.63 A provision of $48,700 would be required to purchase regular office supplies, as well as for special needs of the Unit.

Equipment

29.64 A provision of $94,400 would be required to purchase and install a local area network server for the confidential investigation database, desktop computers, one server for the nine new staff, laptops for investigators, one scanner and one optical disk storage. Estimated requirements of $29,300 would provide for the acquisition of software packages.

United Nations A/50/6 (Sect. 30)

General Assembly
Fiftieth session

12 May 1995

Proposed programme budget for the biennium 1996-1997*

Part XI Capital expenditures

Section 30
Technological innovations

Contents

Page

Overview 1

A. Integrated Management Information System 3

B. Optical disk storage and retrieval system 7

* The present document contains section 30 of the proposed programme budget for the biennium 1996-1997. The complete proposed programme budget will subsequently be issued in final printed form as *Official Records of the General Assembly, Fiftieth Session, Supplement No. 6* (A/50/6/Rev.1).

95-14509 (E) 260595

300595

Part XI
Capital expenditures

Section 30
Technological innovations

Overview

30.1 The activities under this section relate to subprogramme 4, Technological innovations policies, of programme 40, Administrative direction and management, of major programme IX, Common support services, of the medium-term plan for the period 1992-1997, as revised (A/47/6/Rev.1). The main objective of the subprogramme is to develop and coordinate the establishment of policies and procedures related to the introduction of technological innovations at all duty stations of the Secretariat and to ensure adherence to standards and compatibility of technology among duty stations. Improved telecommunications and the requirements for fast and simple electronic voice exchange among all duty stations have created the need to harmonize existing technologies, prevent future incompatibilities and ensure efficient and cost-effective use of the technologies. Emphasis is placed on integrating duty stations into a fully functioning data-processing and communications network through the development, coordination and monitoring of policies, strategies and standards on an organization-wide basis.

30.2 The Integrated Management Information System (IMIS), the first phase of which was approved by the General Assembly in section XII of its resolution 43/217 of 21 December 1988, is a significant step towards the electronic integration of offices performing administrative tasks, regardless of location. The first phase of the project has involved designing and implementing modules that provide most of the functionalities needed by the Organization, as well as modules providing bridges with existing systems. It is anticipated that development of the first phase should be completed during the biennium 1994-1995 and that installation at all major offices should be completed during 1996-1997. The system has also been adopted by the International Labour Office, which implemented the first part of the applications in March 1995, and UNDP, which plans to implement the first part of the system by the end of 1995.

30.3 Subsequent phases may be proposed in future, with modules being added whose existence and utility are contingent upon the design and implementation of the modules comprising this first phase. Such phases would probably be completed mainly by staff assigned to the maintenance of the system.

30.4 The other major project under this section is the optical disk system for storage and retrieval of documentation, approved by the General Assembly in its resolution 44/201 of 21 December 1989. All documents issued in New York and Geneva since 1992 are currently in the system, and documents issued at other duty stations and during special conferences should be added by the end of 1995 as those duty stations gain access to the system. As use of the system grows, it is anticipated that print-runs and stocks of documents can be reduced. The possibility of generating CD-ROM products from the system for sale to the general public is also being considered by the Sales Section.

Table 30.1 **Summary of requirements by programme**
(Thousands of United States dollars)

(1) *Regular budget*

Programme	1992-1993 expenditures	1994-1995 appropriations	Resource growth: Amount	Resource growth: Percentage	Total before recosting	Recosting	1996-1997 estimates
A. Integrated Management Information System	6 867.4	20 000.8	(1 445.0)	(7.2)	18 555.8	1 030.2	19 586.0
B. Optical disk storage and retrieval system	1 687.9	5 397.5	(3 215.3)	(59.5)	2 182.2	108.3	2 290.5
Total	**8 555.3**	**25 398.3**	**(4 660.3)**	**(18.3)**	**20 738.0**	**1 138.5**	**21 876.5**

(2) *Extrabudgetary resources*

1992-1993 expenditures	1994-1995 estimates	Source of funds	1996-1997 estimates
		(a) Services in support of:	
—	—	(i) United Nations organizations	—
		(ii) Extrabudgetary activities	
875.0	3 366.9	Technical cooperation reimbursement resources	—
3 950.9	2 558.1	Peace-keeping operations	—
		(b) Substantive activities	
30.6	—	Trust Fund for Management Improvement and Systems Development	—
—	—	(c) Operational projects	—
Total	**4 856.5**	**5 925.0**	—
Total (1) and (2)	**13 411.8**	**31 323.3**	**21 876.5**

Table 30.2 **Summary by object of expenditure**
(Thousands of United States dollars)

(1) *Regular budget*

Object of expenditure	1992-1993 expenditures	1994-1995 appropriations	Resource growth: Amount	Resource growth: Percentage	Total before recosting	Recosting	1996-1997 estimates
Other staff costs	—	436.7	2 973.9	680.9	3 410.6	164.7	3 575.3
Contractual services	9.5	4 397.6	(1 919.1)	(43.6)	2 478.5	119.5	2 598.0
General operating expenses	809.2	1 743.2	1 670.0	95.8	3 413.2	166.8	3 580.0
Equipment	869.2	3 860.7	(3 712.2)	(96.1)	148.5	7.1	155.6
Other	6 867.4	14 960.1	(3 672.9)	(24.5)	11 287.2	680.4	11 967.6
Total	**8 555.3**	**25 398.3**	**(4 660.3)**	**(18.3)**	**20 738.0**	**1 138.5**	**21 876.5**

(2) *Extrabudgetary resources*

1992-1993 expenditures	1994-1995 estimates	Object of expenditure	1996-1997 estimates
4 825.9	5 925.0	Other	—
4 825.9	**5 925.0**		—
13 381.2	**31 323.3**		**21 876.5**

A. Integrated Management Information System

Table 30.3 **Summary by object of expenditure**
(Thousands of United States dollars)

(1) *Regular budget*

Object of expenditure	1992-1993 expenditures	1994-1995 appropriations	Resource growth		Total before recosting	Recosting	1996-1997 estimates
			Amount	Percentage			
Other staff costs[a]	—	436.7	2 973.9	680.9	3 410.6	164.7	3 575.3
Contractual services[a]	—	4 397.6	(1 919.1)	(43.6)	2 478.5	119.5	2 598.0
General operating expenses[a]	—	206.4	1 173.1	568.3	1 379.5	65.6	1 445.1
Other[b]	6 867.4	14 960.1	(3 672.9)	(24.5)	11 287.2	680.4	11 967.6
Total	**6 867.4**	**20 000.8**	**(1 445.0)**	**(7.2)**	**18 555.8**	**1 030.2**	**19 586.0**

(2) *Extrabudgetary resources*

1992-1993 expenditures	1994-1995 estimates	Object of expenditure	1996-1997 estimates
		(a) Services in support of:	
—	—	(i) United Nations organizations	—
		(ii) Extrabudgetary activities	
875.0	3 366.9	Technical cooperation reimbursement resources	—
3 950.9	2 558.1	Peace-keeping operations	—
		(b) Substantive activities	
30.6	—	Trust Fund for Management Improvement and Systems Development	—
—	—	(c) Operational projects	—
4 856.5	**5 925.0**		—
13 411.8	**25 925.8**		**19 586.0**

[a] Maintenance costs.
[b] Project costs.

30.5 In its resolution 43/217, the General Assembly approved the implementation of phase one of the IMIS project. Phase one involves designing and implementing modules that provide the large majority of the functionalities needed by the Organization and modules that provide bridges with existing systems. It is intended to complete development work during the biennium 1994-1995 and the installation of the system at all major offices during 1996-1997. In subsequent phases, modules whose existence and utility are

contingent upon the design and implementation of the modules built in the first phase may be added, although it is likely that they would be completed primarily by staff assigned to system maintenance.

30.6 The Secretary-General has submitted six progress reports on the implementation of the project to the General Assembly, at its forty-fourth to forty-eighth sessions (A/C.5/44/8, A/C.5/45/20, A/C.5/46/24, A/C.5/47/27 and A/C.5/48/12 and Add.1). A follow-up report (A/C.5/49/41) was considered by the Assembly at its forty-ninth session, as was a report by the Board of Auditors (A/49/680) on a special audit of IMIS requested by the Assembly. In the sixth progress report, the Secretary-General submitted revised estimates on the total cost of the project and, in the subsequent follow-up report, requested an additional appropriation of $7,337,200. The Board of Auditors confirmed that these estimates were fundamentally sound, although it recommended an increase of $1.5 million in the estimate for contractual services and noted that, as with all complex projects of this nature, further difficulties were not inconceivable. Based on the recommendations of the Advisory Committee on Administrative and Budgetary Questions, the Assembly approved the additional appropriation requested, less $1 million for equipment in respect of which disbursements are not anticipated until early 1996.

30.7 The installation of the IMIS system at Headquarters should be completed by early 1996. The main focus of activity in 1996-1997 will be the installation of the system at offices away from Headquarters, the establishment of a long-term maintenance infrastructure and the consolidation of the organizational and procedural changes introduced through the implementation of the system. A major activity will concern software modifications that may be required, as is normal in the period immediately following implementation of major systems. This will be one of the main tasks entrusted to the maintenance team that will also be established during 1996-1997.

30.8 During 1996-1997, major activities will include implementation of the payroll module, training of users world wide in the use and maintenance of the system, completion of the implementation, begun in 1995, of the human resources applications at offices away from Headquarters and implementation of finance applications at offices away from Headquarters.

30.9 At the same time, a maintenance structure will be phased in progressively to replace the development and implementation team. Its main activities will include software modifications, development of additional management reports, technical maintenance of the software world wide, further integration of the system with existing systems not to be replaced by IMIS and support for other small systems to be developed for specific purposes using IMIS data. Maintenance activities are essential in order to preserve the investment made in the construction and deployment of the system. The errors made in the past of not properly maintaining and updating existing systems, that led to the need for IMIS and to the current use of very obsolete systems that do not meet the requirements of the Organization, will be avoided through the proper maintenance and updating of IMIS.

Table 30.4 **Revised estimates for the Integrated Management Information System 1989-1997**

(At current prices)
(Thousands of United States dollars)

	Expenditure 1989-1993	*Estimate 1994-1995*	*Estimate 1996-1997*	*Estimated total 1989-1997*
Project budget				
General temporary assistance	4 116.7	5 972.7	4 194.4	14 283.8
Travel	395.9	877.3	1 340.6	2 613.8
Training	1 077.3	2 927.4	1 535.7	5 540.4
Contractual services	19 062.6	11 694.9	3 712.9	34 470.4
Communications	111.0	80.0	81.1	272.1
Supplies and miscellaneous expenses	99.9	105.0	91.3	296.2
Hardware	1 288.3	1 126.7	1 011.6	3 426.6
Software	859.9	1 550.0	—	2 409.9
Total	**27 011.6**	**24 334.0**	**11 967.6**	**63 313.2**
Maintenance budget				
General temporary assistance	—	436.7	3 575.3	4 012.0
Contractual services	—	4 397.6	2 598.0	6 995.6
Hardware/software	—	206.4	1 445.1	1 651.5
Total	**—**	**5 040.7**	**7 618.4**	**12 659.1**
Grand total	**27 011.6**	**29 374.7**	**19 586.0**	**75 972.3**

[a] Including the following adjustments to the accounts made in 1994: an increase of $1,023,100 under training; a decrease of $92,300 under contractual services; and a decrease of $97,800 under hardware.
[b] At 1996-1997 rates.

30.10 The current estimates for the project budget are based on those included in the sixth progress report, as adjusted for the factors described in paragraph 30.6 above and for recosting at 1996-1997 rates.

30.11 As regards the maintenance budget, provision is made for the creation of a maintenance structure that would progressively replace the current development team. The forthcoming biennium will be a transitional period during which many activities will be run in parallel, using both old systems and IMIS, until the entire system is deployed at all duty stations. The maintenance structure will include staff redeployed from within the Office of Conference and Support Services, the Office of Human Resources Management and the Office of Programme Planning, Budget and Accounts. During the transitional period, however, not all posts that will become redundant because of the introduction of the system will be available for redeployment. Accordingly, the estimates included in the sixth progress report have been modified to include $3.5 million for general temporary assistance.

30.12 Further details will be provided in a seventh progress report to be submitted to the General Assembly at its fiftieth session.

Project budget (1996-1997 rates)

30.13 As indicated in table 30.4 above, the total project budget is now estimated at $63,313,200, with requirements of $11,967,600 in 1996-1997. This figure is based on the estimates contained in the sixth progress report on IMIS and also includes provision for equipment deferred from 1994-1995 ($1 million at initial 1994-1995 rates) and the additional amount recommended by the Board of Auditors for contractual services ($1.6 million at initial 1994-1995 rates), as well as recosting to 1996-1997 rates.

General temporary assistance

30.14 Estimated requirements of $4,194,400 relate to continuation of the project team and provision for local coordinators and technical support staff at offices away from Headquarters. The project team will be phased out progressively between the first half of 1996 and the second half of 1997, as elements of the system are implemented. At offices away from Headquarters, local coordinators will remain on board until the end of 1997 to ensure a consolidation of the activities after the implementation of the system, and technical support staff will remain on board for part of 1996 to complete the local technical installations.

Travel

30.15 A provision of $1,340,600 is requested for travel in connection with the implementation of the system at offices away from Headquarters. Technical implementation teams, human resources teams and budget and finance teams, all composed of staff and contractors, will travel to all duty stations for periods of one to ten weeks, in connection with the implementation of the various parts of the system. Provision is also made for travel by project staff.

Training

30.16 Training costs of $1,535,700 are estimated for the training of staff at Headquarters for payroll and training of staff at offices away from Headquarters (up to 1,000 staff) in all the IMIS applications. Provision is also made for training of one staff member per office away from Headquarters, for familiarization with the actual operations of the financial applications at Headquarters.

Contractual services

30.17 Contractual costs, estimated at $3,712,900, relate mainly to the completion of the development of the software and to implementation activities at offices away from Headquarters, including assistance to users, installation of software and drafting and adaptation of manuals. Contractors will form part of the implementation teams to be sent to all offices to ensure a successful and smooth transition to the new system and working procedures and methods.

Communications, supplies and miscellaneous expenses

30.18 Operating requirements of $172,400 have been estimated on the basis of actual expenditure patterns thus far and relate to communications with and mailing to offices away from Headquarters and normal office and data-processing supplies.

Hardware and software

30.19 No expenses are expected for software acquisition. A provision of $1,011,600 for the purchase of a server at Headquarters for the Organization's world-wide database was deferred from 1994-1995, as disbursement of related funds is not anticipated before early 1996.

Resource requirements (at current rates)

Other staff costs

30.20 Estimated requirements of $3,410,600 relate to the progressive replacement of the development implementation team by staff members whose main focus will be the maintenance of the system and of related systems to be developed. The activities to be implemented will include analysis, programming and technical software maintenance such as database administration, table maintenance, configuration management and help desk coordination. The maintenance structure, which will subsequently be integrated into the Electronic Services Division, will also provide support to the information units existing or to be created within the Office of Conference and Support Services, the Office of Human Resources Management and the Office of Programme Planning, Budget and Accounts. The maintenance team will also include nine staff members redeployed from within the Department of Administration and Management. All maintenance activities will be performed at Headquarters.

Contractual services

30.21 Estimated requirements of $2,478,500 for contractual services relate mainly to support to the IMIS maintenance team for system modifications and improvements, training and, during the initial phase, supervision of the United Nations team and for help desk activities during 1996, mainly to support the

United Nations team during the implementation phase at offices away from Headquarters. These estimates reflect those in the sixth progress report, as recosted, and were reviewed by the Board of Auditors.

General operating expenses

30.22 Estimated general operating expenses would cover the maintenance costs of the commercial software, database management system, procurement software and others, and of the hardware (main computers) used for the system at all duty stations. The estimate of $1,379,500 is based on an average maintenance cost, established at the time of the initial purchase, of 15 per cent of the initial purchase cost.

Other

30.23 Estimated requirements of $11,287,200 ($11,967,600 at 1996-1997 rates) relate to the estimated project budget in 1996-1997. The details of these requirements are set out above.

B. Optical disk storage and retrieval system

Table 30.5 **Summary by object of expenditure**
(Thousands of United States dollars)

Object of expenditure	*1992-1993 expenditures*	*1994-1995 appropriations*	*Resource growth*		*Total before recosting*	*Recosting*	*1996-1997 estimates*
			Amount	*Percentage*			
Contractual services	9.5	—	—	—	—	—	—
General operating expenses	809.2	1 536.8	496.9	32.3	2 033.7	101.2	2 134.9
Equipment	869.2	3 860.7	(3 712.2)	(96.1)	148.5	7.1	155.6
Total	**1 687.9**	**5 397.5**	**(3 215.3)**	**(59.5)**	**2 182.2**	**108.3**	**2 290.5**

30.24 The optical disk storage and retrieval system, approved by the General Assembly in its resolution 44/201, is a fully operational system for the storage of United Nations parliamentary documentation on optical disk as coded text or image-based documents. It allows on-line retrieval of such documents by remote computer workstations for display on screen or for print-out and is now one of the most widely used computer applications in the Secretariat after word-processing and electronic mail.

30.25 The long-term goal of this system is to establish more economical and secure means of distributing and storing parliamentary documentation and to provide timely access to such documents in electronic form to all offices of the United Nations, to missions to the United Nations and other government offices as appropriate, to other organizations of the United Nations system, to the mass media, to other international organizations and to other interested institutions, such as libraries and universities. Now in its third year of full operation, the system provides for electronic archiving and distribution of documents in all six official languages.

30.26 The objectives of the system are to increase the speed and reduce the costs of world-wide distribution; to provide more economical and secure means of storage; to facilitate reference to these documents by users world wide; and to provide a central repository of electronic documents for United Nations staff members involved in the preparation and processing of parliamentary documentation.

30.27 The parliamentary documentation is stored on-line in databases at United Nations Headquarters in New York and the United Nations Office at Geneva, and described and indexed using the structure and descriptors of the United Nations Bibliographic Information System (UNBIS). All documents issued in Geneva and New York are available on the system from 1992 onwards. By the end of 1995, documents issued at Vienna, Nairobi, Addis Ababa, Amman, Bangkok and Santiago, as well as at special conferences around the world, should also be available on the system, since all duty stations should have access to the system in Geneva or New York by then.

30.28 As of April 1995, 37,500 documents had been stored in the optical disk system in all official languages. As of the same date, 150 workstations had been connected to the system within the Secretariat as well as 20 permanent missions in New York and 22 at Geneva. With the installation of expanded access capacity, this number should grow during 1996-1997 to 1,000 workstations and 60 Permanent Missions in New York and 500 workstations and 60 permanent missions at Geneva. Access should also be available to users at Vienna, Nairobi and the regional commissions. In addition, Governments of Member States are starting to request access to the system via Geneva or New York after a successful initial trial demonstrated the feasibility of such connections.

30.29 Access to outside users through the INTERNET is also anticipated by the first half of 1996. It is also expected that during 1996-1997, there will be widespread use of printing on demand of copies of documents from the optical disk. Together with the electronic distribution and retrieval of documents, this feature should result in a considerable reduction of paper copies printed, distributed and stocked. The Sales Section is also exploring offering CD-ROM products generated from the optical disk system as well as on-line access to the system.

Resource requirements (at current rates)

General operating expenses

30.30 With the full installation of the system in New York and Geneva, requirements in 1996-1997 relate primarily to facilities management services, including provision for a second shift for help desk support and computer operations as use of the system grows, and to maintenance of and supplies for the system.

30.31 A provision of $2,033,700 is proposed for:

(a) Facilities management services for New York ($592,100) and for Geneva ($589,500);

(b) Maintenance of equipment for New York ($394,700) and for Geneva ($218,300);

(c) Rental and maintenance of two jukeboxes, one each for New York ($113,500) and for Geneva ($125,600).

Equipment

30.32 A provision of $148,500 is proposed for:

(a) Acquisition of 60 (30 in New York and 30 at Geneva) additional access ports to allow Member States to use the system from their capitals ($117,200);

(b) Acquisition of 32 additional optical disk platters ($31,300).

United Nations A/50/6 (Sect. 31)

General Assembly
Fiftieth session

3 May 1995

Proposed programme budget for the biennium 1996-1997*

Part XI Capital expenditures

Section 31
Construction, alteration, improvement and major maintenance

Contents

Page

Overview 1

A. Construction 3

B. Alteration and improvement 4

C. Major maintenance 9

* The present document contains section 31 of the proposed programme budget for the biennium 1996-1997. The complete proposed programme budget will subsequently be issued in final printed form as *Official Records of the General Assembly, Fiftieth Session, Supplement No. 6* (A/50/6/Rev.1).

95-13426 (E) 220595 250595

Section 31
Construction, alteration, improvement and major maintenance

Overview

Table 31.1 **Summary of requirements by programme**
(Thousands of United States dollars)

Programme	1992-1993 expenditures	1994-1995 appropriations	Resource growth		Total before recosting	Recosting	1996-1997 estimates
			Amount	Percentage			
A. Construction	62 532.7	17 241.9	(17 241.9)	(100.0)	—	—	—
B. Alteration and improvement	23 307.3	26 888.4	(3 583.1)	(13.3)	23 305.3	1 548.7	24 854.0
C. Major maintenance	11 969.2	14 316.8	4 912.4	34.3	19 229.2	2 355.4	21 584.6
Total	**97 809.2**	**58 447.1**	**(15 912.6)**	**(27.2)**	**42 534.5**	**3 904.1**	**46 438.6**

Table 31.2 **Summary of requirements by programme**
(Thousands of United States dollars)

	1992-1993 expenditures	1994-1995 appropriations	Resource growth		Total before recosting	Recosting	1996-1997 estimates
			Amount	Percentage			
A. Construction							
1. ESCAP	4 463.6	—	—	—	—	—	—
2. ECLAC	654.2	—	—	—	—	—	—
3. ECA	57 414.9	17 241.9	(17 241.9)	(100.0)	—	—	—
Total A	**62 532.7**	**17 241.9**	**(17 241.9)**	**(100.0)**	**—**	**—**	**—**
B. Alteration and improvement							
1. United Nations Headquarters, New York	11 085.7	12 706.0	(3 154.8)	(24.8)	9 551.2	461.0	10 012.2
2. United Nations Office at Geneva	8 689.2	10 889.1	(3 454.5)	(31.7)	7 434.6	377.9	7 812.5
3. United Nations Office at Vienna	446.9	—	—	—	—	—	—
4. United Nations Office at Nairobi	458.3	291.9	739.9	253.4	1 031.8	156.5	1 188.3
5. ESCAP	1 439.8	1 678.0	1 242.8	74.0	2 920.8	366.2	3 287.0
6. ECLAC	626.4	760.4	606.7	79.7	1 367.1	130.5	1 497.6
7. ECA	561.0	563.0	(274.8)	(48.8)	288.2	22.4	310.6
8. ESCWA	—	—	711.6	—	711.6	34.2	745.8
Total B	**23 307.3**	**26 888.4**	**(3 583.1)**	**(13.3)**	**23 305.3**	**1 548.7**	**24 854.0**

	1992-1993 expenditures	1994-1995 appropriations	Resource growth		Total before recosting	Recosting	1996-1997 estimates
			Amount	Percentage			
C. Major maintenance							
1. United Nations Headquarters, New York	7 464.8	6 558.6	1 219.1	18.5	7 777.7	341.5	8 119.2
2. United Nations Office at Geneva	2 467.5	3 130.2	1 253.4	40.0	4 383.6	376.7	4 760.3
3. United Nations Office at Vienna	300.0	300.0	350.0	116.6	650.0	—	650.0
4. United Nations Office at Nairobi	303.3	1 198.6	290.4	24.2	1 489.0	883.1	2 372.1
5. ESCAP	621.6	1 639.3	768.2	46.8	2 407.5	305.5	2 713.0
6. ECLAC	241.9	536.3	448.7	83.6	985.0	191.9	1 176.9
7. ECA	570.1	953.8	(155.7)	(16.3)	798.1	109.1	907.2
8. UNTSO	—	—	738.3	—	738.3	147.6	885.9
Total C	**11 969.2**	**14 316.8**	**4 912.4**	**34.3**	**19 229.2**	**2 355.4**	**21 584.6**
Total A, B and C	**97 809.2**	**58 447.1**	**(15 912.6)**	**(27.2)**	**42 534.5**	**3 904.1**	**46 438.6**

31.1 The activities under this section are described in subprogramme 5, Facilities management, maintenance and construction, of programme 43, General services, of the medium-term plan for the period 1992-1997 (A/45/6/Rev.1, paras. 43.39-43.42, and A/47/6/Rev.1).

31.2 The activities of the subprogramme are undertaken at Headquarters by the Buildings Management Service, Office of Conference and Support Services. Within their respective spheres, the organizational units of United Nations offices away from Headquarters and at the regional commissions undertaking general support services also carry out the activities under the subprogramme. Those units include, *inter alia*, General Services within the Division of Administration at the United Nations Office at Geneva, the Division of Administration and Common Services at the United Nations Office at Vienna, the United Nations Common Services at Nairobi and the divisions of administration at the regional commissions. Although the activities of the subprogramme are performed individually by those units, that portion of resources requiring capital expenditures is requested centrally under this section to ensure a coordinated and systematic approach to problems of common concern related to facilities management, major maintenance and construction. However, staff and related costs of the activities are included under section 26 of the proposed programme budget for the biennium 1996-1997.

31.3 The overall objectives of the subprogramme are to provide effective and efficient planning, management, maintenance and operation for all existing physical facilities of the Organization; to provide electronic communication expertise for meeting facilities; to plan, in particular for offices away from Headquarters, new facilities and technical installations required for intergovernmental and inter-agency meetings and conferences; and to provide support for other mandated activities of the Organization.

31.4 Major maintenance requirements vary with the age of the building, type of construction, climate and adequacy of regular maintenance programmes. The majority of United Nations properties are over 20 years old, requiring more active intervention to prevent structural, functional and technical obsolescence. Further, with new technologies in communications, office automation and information management, modifications and alterations to the buildings become necessary to accommodate the specialized requirements of those technologies. For example, the application of energy-saving technologies to existing buildings requires significant architectural and engineering modifications. Similarly, new safety and environmental standards require the renovation of existing buildings to modern standards in such areas as fire safety, access for the disabled, interior air quality, asbestos abatement and safe handling of dangerous or toxic materials.

31.5 However, historically, owing to the financial difficulties of the Organization, the management and maintenance of the facilities owned by the Organization throughout the various duty stations have suffered from low levels of funding for programmes of preventive maintenance and repair. As indicated in the

report of the Secretary-General on facilities management to the General Assembly at its forty-fifth session (A/45/796 and Add.1), during periods of severe financial constraint, it is understandable that maintenance is frequently the first area targeted for reduced or deferred expenditure, in lieu of higher-priority substantive programme activities. As deferments occur a number of times, the cumulative effect leads to breakdowns and inevitably a substantial portion of the necessarily reduced budget allocation has to be redeployed from routine maintenance to unforeseen building repair emergencies. In addition, owing to the nature of capital improvement projects, a number of which require long lead times or summer season timetables, it often proves difficult to complete approved alterations and improvement projects during the biennium in which appropriations are granted. This results in the further deferral of those projects to future bienniums, owing to the unlikelihood of the completion of projects during the financial period.

31.6 A number of projects proposed for 1996-1997 are either projects that were already approved by the General Assembly but which needed to be deferred owing to the need to undertake other unforeseen emergency projects, or are a continuation of previously approved multi-year projects. The proposals for the biennium 1996-1997, therefore, represent the continuing phase of a "catch-up" programme, which is expected to continue into succeeding bienniums and which the organizational units have the capacity to implement within their existing structure. They do not reflect the level of resources required to implement fully the programme of facilities management outlined in the above-mentioned report of the Secretary-General.

31.7 Following the Secretary-General's proposal for a satellite backbone network of the global telecommunications system for the United Nations, the General Assembly approved, by its resolution 48/262 of 14 July 1994, the establishment of the European hub station and upgrading of the New York earth station with only essential associated works within existing resources, and requested the Secretary-General to submit a report to the Assembly at its forty-ninth session, *inter alia*, setting out alternative ways of implementing his proposals. The progress report indicated that the additional resource requirements to complete the remaining satellite backbone network would be requested in the context of the proposed programme budget for the biennium 1996-1997 and would be reflected in the next report of the Secretary-General on telecommunications to be submitted to the Assembly at its fiftieth session. In that connection, included in this section of the proposed programme budget is the request for resources for the installation of earth stations at ECLAC, ESCWA and the United Nations Office at Nairobi.

31.8 The estimate of total resources required is $42,534,500, consisting of provisions for alteration and improvement ($23,305,300), of which $2,605,000 is associated with the proposed telecommunications system, and major maintenance ($19,229,200). No resources are being requested for construction.

A. Construction

31.9 The construction projects at ESCAP and ECLAC were completed in 1992 and 1993, respectively. It is anticipated that construction at ECA will be completed in 1995. No additional resources are being requested.

B. Alteration and improvement

Resource requirements (at current rates)

1. United Nations Headquarters, New York ($9,551,200)

31.10 The projects proposed for implementation at Headquarters during the biennium 1996-1997 include the following:

(a) *General facilities projects ($6,136,700)*

(i) Comprehensive study and development of a master plan for United Nations facilities in New York and the start-up of a 12-year phased priority renovation programme ($1,463,400). Owing to the age of the Headquarters complex, now over 45 years old, both the exteriors and interiors of the buildings, as well as the overall site of the complex require extensive refurbishing, upgrading and major repairs. In addition, ongoing technological innovations over the years have imposed an extraordinary burden on the mechanical and electrical support systems, which are now operating at full capacity, making them inadequate and inefficient and resulting in higher energy consumption and increased utility costs. In view of the accelerating deterioration of the facilities at Headquarters arising from the normal wear and tear of the systems over the years, the exposure of the facilities to the elements, the age and almost obsolete mechanical and electrical systems and equipment, the development of a long-term plan for major maintenance and capital improvement projects would be required. Owing to unforeseen emergency projects, resources provided in 1994-1995 were redeployed and only the study relating to the mechanical portion of the project will be completed in the current biennium. The resources requested in 1996-1997 would provide for the continuation and completion of the master plan study (structural and architectural) as well as implementation of phase I of the plan;

(ii) Continuation of a multi-year programme started in the biennium 1992-1993 to replace lighting fixtures in the Secretariat building with energy-efficient fixtures. For 1996-1997, taking into consideration that five floors per year are being renovated in the biennium 1994-1995, it is proposed to replace lighting fixtures on 10 floors at an estimated cost of $1,317,100;

(iii) Modernization and improvement of heating and air-conditioning systems. Local environmental legislation now requires the use of approved coolants in place of the currently used coolant gas freon, which has been banned. As a result, it is necessary to retrofit all equipment in the compressor room to accommodate the new approved coolants. The resources currently requested ($2,507,300) would provide for the continuation of the four-year programme of modernization and improvement, which started in the biennium 1994-1995;

(iv) Four-year programme, starting in the biennium 1996-1997, for structural and mechanical repairs and improvements to the UNITAR building. In accordance with General Assembly resolution 47/227 of 8 April 1993, ownership of the UNITAR building was transferred to the United Nations in return for the cancellation of the debt of the Institute. It is proposed to undertake a four-year programme of needed repairs and improvements on the building at an estimated cost of $848,900 for the biennium 1996-1997. These repairs and improvements are required to comply with local Occupational, Safety and Health Administration standards. The financial implications of assuming ownership of the UNITAR building, taking into account the unsafe and unsatisfactory condition of the building, were detailed in a statement of programme budget implications (A/C.5/47/82);

(b) Improvements of security and safety ($487,800). To increase the safety of the Headquarters complex for evacuation purposes in the event of emergency or total power outage and in order to comply with the new local fire code requirements, it is proposed to continue with the three-year programme of installation of back-up lighting and signposting along the proposed evacuation route in the complex, which was started during the biennium 1994-1995;

(c) Conference-servicing operations ($2,390,100). The replacement and upgrading of electronic equipment in the conference rooms of the Headquarters complex are part of a systematic

modernization programme endorsed by the General Assembly in its resolution 34/14 E of 16 November 1982. During the biennium 1996-1997, it is proposed to continue the programme, started in 1992, with the installation of new simultaneous interpretation and voting equipment in Conference Room 2 as well as new simultaneous interpretation systems in Conference Rooms 5, 6 and 10 ($2,146,200) and the second-phase replacement of the master control equipment ($243,900). The Master Control equipment, which was installed over 40 years ago and is outdated, connects the audio capabilities of all the conference rooms and verbatim reporting;

(d) Public information activities ($536,600). The resources requested would provide for the expansion and consolidation of Tape Room 3 into an independent videotape production facility, supporting Studio 4, which would serve as a multimedia/format screening production facility ($236,100) and the expansion and reconfiguration of the control room area in Studio H, in order to accommodate properly the attending delegations and production personnel, and to improve the flow of traffic in the facility ($300,500).

2. United Nations Office at Geneva ($7,434,600)

31.11 The projects proposed for implementation during the biennium 1996-1997 relate to a number of projects to modernize and upgrade the buildings and technical installations at the United Nations Office at Geneva:

(a) *General facilities projects ($3,007,700)*

(i) Modernization of lighting in Conference Rooms 3 and 7 ($230,700). After over 27 years of use, the ceiling lighting has deteriorated and is in risk of falling. The resources requested would provide for light alteration work, with the replacement of the false ceiling and of lighting fixtures (electrical panels, fire detection units, etc.);

(ii) Replacement of lighting fixtures in Rooms 17 and 18 ($454,200). After 24 years of service, owing to intensive use and the heat present in the false ceilings, the ceiling fixtures (over 600) have deteriorated and should be replaced;

(iii) Renovation of Room F3 ($64,000). To increase conference space, it is proposed to renovate a small room (F3), with a capacity of 50 seated places, with a voice amplification system and black curtains to cover the windows when videos are shown;

(iv) Asbestos abatement ($173,100). The soundproofing on the walls in Room 16, in the central part of the building, consists in part of asbestos wool and must be replaced. The estimate of resources requested would provide for the whole process of hermetically sealing, depressurizing the room and air filtering while the asbestos wool is replaced;

(v) The Medical Service area, which is both old and unsuitable for the needs of the Service, requires renovation and modernization, at a cost of $295,700;

(vi) Continuation (second phase) of the restoration of the Cour d'Honneur, the courtyard in front of the Assembly Building and bordered by its two wings ($500,000). Following an initial phase of work during 1994-1995, involving repair to the paved surface in places dangerous for those on foot, restoration of the remainder of the Cour d'Honneur is necessary after more than 45 years' exposure to the elements. The area, which constitutes the ceiling of the cafeteria, workshops and also rooms where technical equipment is kept, must be completely waterproofed to eliminate leaks currently occurring in the cafeteria kitchens;

(vii) First-phase refurbishment of the Spence Halls ($850,000). After 25 years, the ceilings in the Spence Halls on the first, second and third floors of E Building require painting and, in particular, the false ceilings and glass-fibre-insulated ventilation ducts should be replaced for health reasons;

(viii) Continuation of the reconfiguration of the first floor Council Building documents distribution area ($200,000) to rationalize and streamline the documents distribution operation;

(ix) Renovation of a small room (K4) on the fourth floor of the Secretariat Building ($160,000) used extensively for training for data-processing applications. The work required involves

double flooring for the installation of cables, installation of an air-cooling system and curtains to reduce the glare onto computer screens;

(x) Fees for construction and engineering consultants ($80,000);

(b) *Improvements of security and safety ($2,049,500)*

(i) Replacement of fire-detection devices in the Petit-Saconnex annex ($57,800), which are very old and do not conform to present standards. It would be essential to replace radioactive smoke detectors;

(ii) Access control ($845,400). Continuation of the installation of electronic access control ($115,400) at the main entrances of the Palais whereby users are recognized by means of a personal-card-authorizing entrance. In 1996-1997 a further 20 external doors will be installed with such devices. In addition, 50 magnetic-card-operated locks ($30,000) are to be installed on the doors of areas and offices that require access control but do not merit connection to the centralized access control installation; and a video surveillance system ($700,000) is to be implemented. It is proposed to monitor entrances with a video camera linked to the section control personal computer. The upgraded telephone cabling system installed in 1992 would enable video cameras to be connected to any point in the Palais. In that connection, the resources requested would provide for an engineering consultant, security control equipment, cameras, video-matrix and the necessary cabling;

(iii) Improvement of the balustrade at the Salle des Pas Perdus gallery ($86,500). The balustrade of the gallery on the fifth floor of the Assembly Building dates back to the construction of the building. It overlooks the Salle des Pas Perdus and does not meet safety standards;

(iv) Installation of emergency exits at the Le Bocage villa ($223,400). The villa is occupied by the Training and Examinations Section. Owing to the increased number of staff members in the building, rapid evacuation of the building would not be possible in case of an emergency. It is proposed to provide for further emergency exits at each end of the first floor of the wings of the villa, which would involve the transformation of one window into an external door and the addition of a metal balcony with two metal staircases;

(v) Continuation of the improvement of security signposting ($28,900). In accordance with current legislation, it is proposed to continue with the upgrading of the security signposting programme to enable the rapid evacuation of the buildings in case of an emergency;

(vi) Second-phase construction of a small building to provide for security and controlled access at the Prégny entrance ($807,500). The request relates to the creation of an outside area, completion of the inside of the building to provide minor services to visitors, as well as an identification office and area for the security officers. Although resources were provided in 1994-1995 for the project, work has been limited to the study and preliminary construction of the structure owing to the need to redeploy the resources to other projects requiring immediate corrective action;

(c) *Technical installations ($2,377,400)*

(i) Improvement and replacement, after 25 years of use, of backup lighting at the Palais (first phase), in conformity with regulations concerning backup lighting, in particular in the corridors, basements, stairwells and certain large areas, and in E Building ($209,000);

(ii) Replacement of the 30-year-old Petit-Saconnex cross-bar Private Branch Exchange (PBX) by a remote partition of the Palais des Nations digital PBX, including upgrading of the existing cabling structure ($721,000);

(iii) Continuation of the replacement of power lines and cables in the Petit-Saconnex annex, installed at the time the prefabricated buildings were assembled in 1967, owing to technological innovations ($72,100);

(iv) Installation of seven uninterruptible power supply units for the Réseau Fédérateur Informatique, which has experienced an average of 100 power interruptions per year ($600,000). The

resources include the necessary cabling, technical work and building and minor construction work for the installation of the units;

(v) Modernization (first phase) of the building automation system, dating back to 1977, which monitors and regulates the air conditioning and heating installations ($360,500);

(vi) Upgrading of the equipment to manage the Alcatel OPUS digital PBX network installed at the Palais des Nations and other remote sites in Geneva ($214,800). With the installation of the network at Geneva, a low-level PC-based system for its administration was initially installed. However, with the increased number of users (5,000) during peak periods, volume of calls per day (10,000 outgoing and 30,000 total communications) and the link with other Geneva sites, the current system can no longer provide sufficient control to avoid misuse of the facility or preventive measures to avoid congestion and emergency situations;

(vii) Upgrading of the digital private automatic exchange (PAX) and voice mail system to accommodate asynchronous transfer mode (ATM) technology, which will enable consolidation of national Posts and Telecommunications Authority (PTT) lines and improved telephone service, and will enhance access to the United Nations global network system. Further, the upgrading provides a base for the introduction of new technologies such as tele-surveillance and video-conferencing ($200,000).

3. United Nations Office at Vienna

31.12 No resources are being requested for the United Nations Office at Vienna.

4. United Nations Office at Nairobi ($1,031,800)

31.13 Resources are requested for the following projects:

(a) *Alterations and improvements on the building structure and grounds ($80,500)*. Installation of fire-alarm systems in the old building blocks and connection to the existing system ($22,500), installation of electronic security fencing to ensure better security and control of the premises ($10,000), continuation of the landscaping of the grounds ($15,000) and improvements to the conference-servicing facilities with the upgrading of existing audiovisual equipment ($33,000);

(b) *Technical installations ($951,300)*. A one-time provision is requested to cover site preparation, acquisition, installation and system integration of the satellite earth station in association with the proposed satellite backbone network of the global telecommunications system for the United Nations.

5. Economic Commission for Asia and the Pacific ($2,920,800)

31.14 The projects proposed for implementation during the biennium 1996-1997 relate to the following:

(a) Continuation of the programme of conversion of space, by maximizing the use of the convenience offered by the new walkways joining the three structures at the ESCAP complex, that is, the Conference Centre, the Secretariat Building and the Service Building, through the relocation of as many as possible of the common facilities used by persons working in the United Nations buildings on the first ("circulation") floor. In that connection, three large alteration projects are proposed:

(i) Relocation of the United Nations Library ($1,300,000) to the ground and first floors of the Secretariat Building, providing increased floor area for additional offices; an area for acquisition and technical processing activities; and a security and safety checking/reception area at the main pedestrian entry for persons seeking assistance from UNHCR. The resources requested would provide, in particular, for construction, decking and finishing of the mezzanine floor; fixtures and furnishings; modification of air-conditioning and lighting; expansion of access to fire exits; creation of work-stations; and construction of a walkway to the lobby of the Secretariat Building;

(ii) Relocation of the staff cafeteria and canteen ($1,241,600). The staff cafeteria will have been in service for nearly 22 years, without major upgrading. In an effort to modernize the cafeteria and improve space utilization and access in the building, it is proposed to relocate both the staff cafeteria and canteen to the first floor of the Service Building. The resources requested would

allow for the refurbishment of the first floor area, including installation of a fire-fighting system; modification of the air-conditioning, exhaust ventilation, lighting and plumbing systems; changing room facilities; and replacement of cafeteria furniture and equipment;

(iii) Refurbishment of B Block of the Secretariat Building, increasing the available floor space, as well as permitting more efficient use of the ground floor B Block, which is currently not utilized. The resources requested ($200,000) would provide for the construction of a mezzanine level on the ground floor of B Block, including modification of the air-conditioning and lighting system; installation of office and special-use areas; and floor covering and wall treatment;

(b) Installation of an air pressurization system in the emergency fire escape stairways of both A and B Blocks of the Secretariat Building, which will activate in case of fire and will ensure that the stairways remain free of smoke ($102,400);

(c) Modification of the air ventilation and exhaust systems of the Conference Building kitchens to reduce the level of noise generated by the present installation ($76,800).

6. Economic Commission for Latin America and the Caribbean ($1,367,100)

31.15 Resources requested under alterations and improvements relate to the following projects:

(a) Continuation of general facilities projects ($225,000). Replacement of existing substandard office panels with acoustical, insulated and fire-protected panels ($100,000), installation of false ceilings and insulation in walls to promote energy conservation ($20,000), installation of a labour-saving automated lawn sprinkler system in the south garden of the complex ($10,000), modernization of fire exit staircases in compliance with current code requirements and to improve handicapped access ($45,000) and the start of a multi-year project of installation of workstations for improved space utilization ($50,000);

(b) Continuation of technical installation projects ($1,142,100). Upgrading of all electrical wiring in the buildings to support increased power demands arising from technological innovations ($100,000), installation of race-ways to accommodate new communication lines between the mainframe and computer network ($10,000), upgrading of the meridian digital telephone private automatic branch exchange (PABX), including the installation of voice-mail facilities ($30,000), upgrading of the existing lighting system ($10,000), extension of the fire-protection and security-detection system ($50,000) and a one-time provision to cover site preparation, acquisition, installation and system integration of the satellite earth station in association with the proposed satellite backbone network of the global telecommunications system for the United Nations ($942,100).

7. Economic Commission for Africa ($288,200)

31.16 The resources requested would provide for the replacement of light fixtures in both the Africa Hall and Extension Office Buildings ($40,900), renovation to the ground access areas of the Africa Hall Building ($65,500), the basements of the Extension Office Building ($40,900) and the cafeteria area ($40,900), maintenance of the garden and landscaped areas ($50,000) and continuation of the replacement programme of systems design furniture for improved space utilization ($50,000).

8. Economic and Social Commission for Western Asia ($711,600)

31.17 A one-time provision of $711,600 is being requested in connection with the proposed satellite backbone network of the global telecommunications system. The resources relate to site preparation, the cost of purchase and installation of the earth station and systems integration of the equipment at ESCWA. The eventual siting of the earth station is dependent upon the final decision on the relocation of ESCWA.

C. Major maintenance

Resource requirements (at current rates)

1. United Nations Headquarters, New York ($7,777,700)

31.18 The resources requested under major maintenance would provide for the continuing critical maintenance activities at Headquarters. It includes the proposed contracting out of certain activities related to carpentry operations, carpets and heating, ventilation and air-conditioning unit maintenance work, the activities formerly assigned to a number of Trades and Craft category posts proposed for abolition under section 26D of the proposed programme budget for the biennium 1996-1997. The following projects are proposed during 1996-1997:

(a) *Heating, ventilation and air-conditioning ($698,300)*. The resources requested under this heading would provide for the replacement of bearings and shafts on specific fans and air-conditioning systems of larger capacities, as well as the repair and refurbishing of equipment in the compressor room and various fan rooms;

(b) *Electrical maintenance ($299,300)*. The projects under this heading, to be completed by outside contractors, include the replacement of magnetic circuit breakers in sub-distribution panels; maintenance and replacement of lighting equipment throughout the complex, including bulb replacement, changing/refurbishing fixture casings and grills, and replacement of lighting ballasts; replacement of dimmer controls and receptacles; replacement of electronic wall clocks; calibration of connections on switchboards; and replacement of motor controls;

(c) *Plumbing maintenance ($498,800)*. The resources requested under this heading would provide for the replacement of damaged and defective piping and valves throughout the complex; replacement of bathroom fixtures as required, of domestic hot-water coils, plumbing gratings and traps; replacement of heating and cooling coils; and the repair and maintenance of conveyors in the Secretariat Building, electric/pneumatic doors, dumb waiters, overhead roll-up doors, river-water intake equipment and sump pits;

(d) *Replacement of carpets, curtains and upholstery work ($1,436,500)*. An amount of $798,100 relates to the contracting out of work related to the regular replacement and repair programme of carpets, curtains and venetian blinds throughout the complex, as well as the reupholstering of conference room furniture. In relation to the major conference rooms and public areas throughout the Conference Building, the carpeting has suffered wear and tear over time and poses a potential safety hazard. In that connection, a carpet replacement programme is proposed, extending over several years and beginning in 1996-1997. During 1996-1997, carpet replacement, at a cost of $638,400, would include the Council Chambers, Delegates Lounge, second floor corridor of the Conference Building and Conference Rooms 1 and 2;

(e) *Carpentry ($49,900)*. The resources requested would provide for the rebuilding and refurbishing of class A furniture in all buildings. The services under this heading would be provided through contracting out;

(f) *Painting ($748,200)*. Painting projects are proposed for various areas of the General Assembly Building, Secretariat, Library, Conference Building, South Annex and North Lawn Buildings, as well as the traffic line markings within the complex;

(g) *General maintenance ($997,600)*. Resources under this heading would provide for the replacement of the Secretariat Building spandrel glass panels in between floors, glass panes throughout the rest of the complex, caulking and repair of expansion joints, replacement of floor tile materials, exterior door saddles and sash balances for windows throughout the Secretariat; concrete curbing throughout the complex; and gardening and installation of lawn sprinklers for the north garden;

(h) *Asbestos abatement and environment testing ($997,600)*. The multi-year asbestos abatement programme, started at Headquarters during the biennium 1988-1989, will continue during 1996-1997

in selected areas of the Headquarters complex, in accordance with technical guidelines, air quality surveys and existing conditions;

(i) *Refurbishment of offices of Conference Rooms 1, 2, 3 and 4 and the Trusteeship Council ($324,200).* The suites of offices adjacent to Conference Rooms 1, 2, 3 and 4 and the Trusteeship Council have deteriorated over the years and require refurbishment. Resources requested would provide for the replacement of carpets, painting of the rooms and refurbishing or replacement of existing furniture;

(j) *Structural and architectural maintenance ($1,727,300).* A number of projects are proposed under this heading:

(i) Conference Building roof repairs ($279,300). Owing to severe weather conditions over the last two years, the second-floor balcony roof of the Conference Building, in front of the North Delegates' Lounge, has deteriorated beyond repair and requires critical work to prevent further leaks and damage to the office space below, which has already experienced flooding;

(ii) Replacement of the concrete pavement at the Peace Bell court ($189,500). The estimated requirements under this heading relate to the continuation of the pavement replacement project begun in 1988. Since the commencement of the project, the court area surrounding the Peace Bell has weakened, resulting in frequent leakage into Conference Rooms A, B, C and D. Appropriate repairs would, therefore, be required. The project was deferred during the biennium 1994-1995 owing to other emergencies requiring immediate corrective action;

(iii) Replacement of the south concrete pavement in front of the General Assembly Building ($164,600). The resources requested under this heading relate to the continuation of the pavement replacement project begun in 1988. Since the commencement of the project, the walkway has weakened, resulting in frequent leakage into Conference Rooms 7 and 8. Appropriate repairs would, therefore, be required;

(iv) Waterproofing of the 6th and 16th floors of the mechanical equipment rooms of the Secretariat Building ($279,300). Owing to the normal expansion and contraction of the concrete surfaces, the floors of the four mechanical equipment rooms in the Secretariat Building have suffered damage. Over the years, this shifting has created cracks in the surfaces, thus permitting leakages to occur following condensation or pipe breakage. Remedial action was taken in 1994-1995 for two of the four mechanical room floors. Continuing with this repair programme, resources are requested in 1996-1997 for appropriate remedial action to be taken on the two remaining mechanical floors;

(v) Waterproofing of the floors in the first basement and the remaining section of the second basement of the garage ($329,200). Under the restoration and waterproofing programme for the United Nations garage carried out during 1992-1993, the hazardous structural conditions that affected the concrete slab on the second level of the garage were eliminated. With regard to the first level of the garage, although no imminent failure has been assessed, the concrete floor has suffered damage resulting from the absorption of salts during winter periods. To avoid future structural damage, resources are requested to waterproof the first level as well as to complete the remaining section of the second level;

(vi) Maintenance of the residence of the Secretary-General ($485,400). The resources requested would cover only the most essential architectural repairs, rehabilitation of the facades, replacement of windows and the needed repairs to the roof, to preserve the architectural and structural integrity of the residence. In addition, upgrading of the plumbing system is required to meet local standards.

2. United Nations Office at Geneva ($4,383,600)

31.19 As part of the continuing major maintenance programme, the following projects would be undertaken during the biennium 1996-1997:

(a) *Building structures and grounds ($2,305,200)*

(i) Replacement of expansion tanks in the Palais boiler plant ($68,400). The boiler plant expansion chamber, located on the ninth floor of the Assembly Building dates from the 1960s. In order to avoid any further risk of leaks, as occurred in March 1994, it is proposed to install a new system in the boiler plant;

(ii) Replacement of drain pipes and sanitary equipment ($205,300). Continuation of the programme of replacement of horizontal drain pipes running under sanitary installations in the Palais and its annexes, which have been in service for more than 60 years; and replacement of sanitary equipment, much of which dates from the time of the League of Nations;

(iii) Replacement of water distribution pipes in the buildings ($68,400). Continuation of the programme of replacement of pipes used for water distribution in the buildings (hot water, cold water, chilled water, etc.) and outside (connections for watering the grounds, fire hydrants, etc.), after more than 60 years of service;

(iv) Multi-year refurbishment programme of offices in the old buildings ($582,000). For the biennium 1996-1997, the resources requested would provide for the refurbishment of 160 of the 220 offices that require immediate refurbishment;

(v) Replacement of revolving doors ($150,700). Continuation of the programme of replacement of external revolving doors at the Palais. Five more doors at exits 39, 40 and 41 are to be replaced;

(vi) Replacement of double glazing ($102,700). Owing to their dilapidated condition, the double-glazed windows are no longer airtight and suffer from declining insulation efficiency. The resources requested would provide for the replacement of approximately 60 windows in E Building;

(vii) Repair of paved areas and car parks ($187,600). Continuation of the programme for the maintenance and repair of external paved areas (roads and car parks);

(viii) Assembly Building roof repairs ($164,400). Continuation of the programme for the maintenance of the roof of the Assembly Building, including essential repairs to the plant room close to the glass roof;

(ix) Maintenance and repairs to the first and second floors of the conference area of E Building and repair to metal staircases inside the building that link the three levels of the conference sector ($112,300);

(x) Restoration/replacement of curtains and blinds ($324,500). Replacement of curtains in Conference Room 11 and first-phase replacement of curtains in Room 21 of the Council Chamber, owing to their dilapidated condition ($136,900); and repair and replacement of the 400 blinds in E Building and replacement of the operating mechanisms of the blinds of the first floor of the Secretariat Building ($187,600);

(xi) Refurbishment of furniture in Conference Rooms 3, 8 and 9 ($68,400). Approximately 550 wood and leather chairs, which date from the time of construction of the Palais, require refurbishment or replacement;

(xii) Refurbishment of corridors in the old building owing to their dilapidated condition. The resources requested ($174,600) would provide for sanding of walls and ceilings and cleaning of cable ducts;

(xiii) Refurbishment of wood panelling ($54,800). The wood panelling and tables in Room 8, a gift from the Government of Denmark at the time of construction of the Palais in 1935, requires refurbishment;

(xiv) Fees for architects and construction and engineering consultants ($41,100);

(b) *Technical equipment and installations ($1,862,700)*

(i) Replacement of lifts ($1,027,100). After a number of years of intensive use, lifts 7A and 7B (37 years) and lifts 29 and 30 (26 years) require replacement and modernization. The resources requested would provide for a duplex operating lift system in order to halve the number of trips and reduce wear and energy consumption;

(ii) Multi-year major maintenance of the four low-voltage electric power distribution units at the Palais ($226,000). In addition to regular maintenance of the units, in accordance with local legislation, the old back-up batteries containing dangerous toxic products must be replaced. It is proposed to carry out this work over two bienniums beginning in 1996-1997;

(iii) Replacement of uninterruptible power supply units and batteries ($130,300). Electricity supplied to the secretariat's data-processing centre in Room K119 passes through a unit installed in 1988. After nine years of service, it is necessary to replace it and the other 82 batteries used by the data-processing centre supply installations;

(iv) Replacement of air-conditioning units ($390,200). The resources requested would provide for the replacement of the filters, pre-heater batteries and humidifiers of the air-conditioning units in the document reproduction shops, which have been in service for 25 years ($239,600); the air-conditioning unit in the data-processing centre, installed in 1981 ($61,600); a number of small stand-alone units placed in decentralized data-processing areas ($13,700); and the air-conditioning unit on the sixth floor, D Building, which has been in service for more than 15 years and suffers from frequent breakdowns ($75,300);

(v) Overhauling of two generators ($89,100). There are four emergency generators at the Palais, installed in the early 1970s. The two oldest generators were fully overhauled previously and resources are now requested to cover the cost of overhauling the remaining set;

(c) *Improvements of security and safety ($215,700)*

(i) First-phase replacement of internal fire points ($116,400). The 122 fire points located throughout the old Palais buildings date from the time of its construction. After more than 60 years, the fabric hoses, wound over metallic drums, suffer from cracks and are no longer resistant to water pressure if used;

(ii) Replacement of the alarm system in the La Fenêtre villa ($13,700). The alarm system in the La Fenêtre villa, the residence of the Director-General, entered into operation in 1987. This model is no longer manufactured and spare parts are unobtainable. A replacement is necessary;

(iii) Repair and maintenance of fences surrounding the Palais grounds ($85,600). In some areas, the railings surrounding the Palais are in poor condition, allowing easy entry.

3. United Nations Office at Vienna ($650,000)

31.20 Under the terms of the 1981 agreement on the Common Fund for Major Repairs between the United Nations, the IAEA, UNIDO and the Government of Austria, each of the four parties to the agreement has to date been required to contribute $25,000 annually to the Common Fund. In addition, disbursements made for major repairs and replacement are to be reimbursed into the Fund in equal shares by the parties during the subsequent year, on the understanding that no party shall be required in any one calendar year to make a total payment in excess of $150,000. However, the Government of Austria would be financially liable to meet that portion of the cost in any one calendar year which may exceed the financial liability of the organizations.

31.21 The terms of the agreement were to be reviewed initially after 10 years (i.e. in 1991) and subsequently every 5 years. However, renegotiation was not pursued in 1991 by the Government of Austria or the organizations, and the status quo has prevailed through the biennium 1994-1995. During recent negotiations, agreement was reached on the financial liability of each of the organizations at the Vienna International Centre. The revised rates require a contribution by each organization of an amount not exceeding $325,000 per annum, being the sum of the contribution to the working capital of the Fund and

the maximum total reimbursement for actual expenditures. The revised rates will take effect in 1996 and are subject to review in the year 2000.

31.22 The resources requested by the United Nations Office at Vienna ($650,000), reflecting an increase from the base of $350,000, correspond to its share for the biennium under the terms of the quadripartite agreement on the Common Fund for Major Repairs.

4. United Nations Office at Nairobi ($1,489,000)

31.23 The major projects proposed by the United Nations Office at Nairobi during the biennium 1996-1997 relate to:

(a) *Building structures and grounds ($1,033,400)*. External repair and maintenance work on buildings, including the roofs and facades ($96,000), repair and maintenance of the concealed drainage system ($13,400) and replacement of carpets in offices, conference rooms and lounges, and repair to the asphalt area of the main entrance ($924,000);

(b) *Technical installations ($455,600)*. The resources requested would cover the maintenance of the solar heating system ($3,800); spares for technical installations ($7,500), upgrading of the generator exhaust system and emergency generators ($362,500), upgrading of the high-voltage transformer, including the power distribution system ($28,800) and maintenance and upgrading of catering equipment, including the chiller rooms ($53,000).

5. Economic and Social Commission for Asia and the Pacific ($2,407,500)

31.24 The resources requested under major maintenance would enable the continuation of the established long-term maintenance programme on the buildings at ESCAP, which, in the case of the Secretariat and Service Buildings, will be 22 years old. The major projects planned for 1996-1997 are the following:

(a) *Building structures ($512,100)*. Rectification of vibration problems on the ground floor B Block of the Secretariat Building ($153,600), and installation of mobile roof gondolas or work platforms on the roof of both A and B Blocks of the Secretariat Building to enable regular marble and other facade maintenance ($358,500);

(b) *Technical installations ($1,895,400)*. Phase two and completion of the programme to modernize the air-conditioning system in the Secretariat and Service Buildings, which commenced during the biennium 1994-1995 ($256,100), replacement of the passenger elevator control systems in both the Secretariat and Service Buildings, deferred in 1994-1995 owing to other essential work that had to be undertaken ($820,000), replacement of air-conditioning chiller plant units No. 1 and 2 using chlorofluorocarbons, in accordance with environmental legislation ($665,700), regular programmed annual maintenance of the 69-kilovolt high-voltage transformers and switch gear ($102,400) and replacement of the submersible water pump in the water well and the water well tube casing, in place since 1975 and in need of attention. The water well provides water to the complex whenever the city mains water supply is insufficient or is cut off altogether ($51,200).

6. Economic Commission for Latin America and the Caribbean ($985,000)

31.25 The resources requested under major maintenance would provide for the continuation of the multi-year maintenance and repair of the building structures and grounds of ECLAC, as well as the technical installations. The projects proposed in 1996-1997 are:

(a) *Building structure and grounds ($565,000)*. Cleaning of concrete surfaces and aluminum window frames affected by oxidation and normal wear and tear ($15,000), waterproofing of the roof of the Main Building and bunkers ($60,000), paving of the front of the building and some walkways, which have deteriorated owing to ground settlement over the years ($25,000), sealing the bottom of the pond as well as the repaving of roads within the compound ($20,000), reconditioning of the toilets in the Main Building, including changing basins, taps and floor and wall tiling, as necessary ($50,000), changing floor tiling in the Main Building ($40,000), reinstalling window panes in the Documents Research Centre Building ($80,000), replacement of three boilers ($100,000), replacement of the exhaust and air-injection systems in the boiler room ($20,000), replacement of the chiller in the North Building ($80,000) and reconditioning of the West Building fence ($75,000);

(b) *Technical installations ($420,000)*. Installation of a new summer/winter control system for the Documents Research Centre Building and upgrading the heating system in the North Building ($50,000), installation of a new emergency change-over switch, updating of electrical panels in the boiler room, Main and Documents Research Centre Buildings, owing to obsolescence ($15,000), replacement of the air-conditioning system in the Documents Research Centre Building and fresh air-intake and ventilation system for the Main Building, with more modern automatic zone control and temperature regulation system ($100,000), replacement of two lift cabins and the associated electronic control panel ($90,000), replacement of the air-distribution system of all the service areas ($40,000) and installation of a fan coil system in the Documents Research Centre Building ($125,000).

7. Economic Commission for Africa ($798,100)

31.26 In connection with the continuation of the programme of upgrading and improvement of the existing facilities, the estimated resources requested relate to repairs to the damaged/deteriorated external cantilevered ceiling of the rotunda area of Africa Hall ($40,900), roof renovation to Africa Hall and the installation of reinforced sheeting on the Secretariat Building ($133,400), maintenance of the water fountain area ($50,000), maintenance and resurfacing of the asphalt pavements ($100,000), spares for technical installations ($300,000), maintenance of the conference room area ($50,000) and the maintenance and servicing of technical installations at ECA, including conference-servicing facilities ($123,800).

8. United Nations Truce Supervision Organization ($738,300)

31.27 The east wing of the headquarters of UNTSO, located in Government House, Jerusalem, has for several years suffered from the effects of movement in the ground under and around the foundations, owing to leaks from underground water pipes, as well as minor earthquakes and sonic booms of overflying aircraft, rendering the offices and the main conference rooms unsafe.

31.28 Estimated resources of $738,300 are requested to engage the services of engineers and architects to prepare a clear plan of the building, tender documents and specifications, and perform remedial work on the foundation, walls, floors and supporting columns of the structure.

United Nations A/50/6 (Sect. 32)

General Assembly
Fiftieth session

12 May 1995

Proposed programme budget for the biennium 1996-1997*

Part XII Staff assessment

Section 32
Staff assessment

Contents

Page

Staff assessment 1

* The present document contains section 32 of the proposed programme budget for the biennium 1996-1997. The complete proposed programme budget will subsequently be issued in final printed form as *Official Records of the General Assembly, Fiftieth Session, Supplement No. 6* (A/50/6/Rev.1).

95-14497 (E) 230595 300595

Part XII
Staff assessment

Section 32
Staff assessment

(Thousands of United States dollars)

	1992-1993 expenditures	*1994-1995 appropriations*	*Resource growth*		*Total before recosting*	*Recosting*	*1996-1997 estimates*
			Amount	*Percentage*			
Staff assessment	374 663.4	357 798.1	(3 932.9)	(1.0)	353 865.2	14 738.0	368 603.2

32.1 In accordance with the budgetary procedures of the United Nations, provision is made on a gross basis under the expenditure estimates for assessable emoluments of its staff members other than post adjustment payments. The salary and related emoluments of United Nations staff members are subject to the assessment rates in regulation 3.3 of the Staff Regulations of the United Nations, as amended by the General Assembly in annex II to its resolution 49/223 of 23 December 1994. To facilitate comparability with the work programme and budget proposals of other organizations of the United Nations system, the staff costs are shown net of staff assessment under the various sections of expenditure of the proposed programme budget. The difference between gross and net emoluments ($368,603,200) is requested as a global amount under the present section of expenditure.

32.2 Amounts withheld in the form of staff assessment are revenue to the organization, which, to the extent not otherwise disposed of by specific resolution of the General Assembly, is credited to the Tax Equalization Fund for the purposes envisaged in Assembly resolution 973 A (X) of 15 December 1955. Accordingly, the amount requested under this section is also included in income section 1, Income from staff assessment.

United Nations A/50/6 (Sect. 33)

General Assembly
Fiftieth session

12 May 1995

Proposed programme budget for the biennium 1996-1997*

Part XIII International Seabed Authority

Section 33
International Seabed Authority

Contents

Page

International Seabed Authority 1

* The present document contains section 33 of the proposed programme budget for the biennium 1996-1997. The complete proposed programme budget will subsequently be issued in final printed form as *Official Records of the General Assembly, Fiftieth Session, Supplement No. 6* (A/50/6/Rev.1).

95-14521 (E) 230595 300595